

Baxter's Q-operator

(for the open XXZ Heisenberg chain with diagonal boundaries)

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Based on joint work with Robert Weston (in progress, on arXiv soon)

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Heisenberg XXZ spin chains

Let $V = \mathbb{C}v_0 \oplus \mathbb{C}v_1 \cong \mathbb{C}^2$. Quantum-mechanical state space of spin- $\frac{1}{2}$ chain with N sites:

$$V^{\otimes N} = V \otimes \dots \otimes V.$$

Quantum Hamiltonian of Heisenberg XXZ spin- $\frac{1}{2}$ chain (nearest-neighbour interaction):

$$H \propto \sum_{n=1}^{N-1} \left(\sigma_n^x \sigma_{n+1}^x + \sigma_n^y \sigma_{n+1}^y + \frac{q + q^{-1}}{2} \sigma_n^z \sigma_{n+1}^z \right) + \text{boundary terms}$$
$$\in \text{End}(V^{\otimes N})$$

where

- $\sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, $\sigma^y = \begin{pmatrix} 0 & -\sqrt{-1} \\ \sqrt{-1} & 0 \end{pmatrix}$, $\sigma^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$;
- subscripts indicate in which tensor factor the operators $\sigma^{x,y,z}$ act;
- $q \in \mathbb{C}^\times$ parametrizes the degree of isotropy - we assume $|q| < 1$.

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1. Construct $U^V(z) \in \text{End}(V \otimes V^{\otimes N})$ (auxiliary copy of V , parameter $z \in \mathbb{C}$) by composing operators each of which acts nontrivially on tensor products of auxiliary V and at most one of the other V s.

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2. Define transfer matrices

$$T(z) = \text{Tr}_V U^V(z) \in \text{End}(V^{\otimes N}).$$

For “nice” and well-chosen constituent operators we have

$$[T(y), T(z)] = 0, \quad H \propto \left(T(z)^{-1} \frac{d}{dz} T(z) \right) \Big|_{z=1}.$$

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3. (Algebraic Bethe ansatz) Decompose $U^V(z)$ w.r.t. auxiliary V
 $U^V(z) = \begin{pmatrix} A(z) & B(z) \\ C(z) & D(z) \end{pmatrix}$ with $A(z), B(z), C(z), D(z) \in \text{End}(V^{\otimes N})$;
derive commutation relations; *hope you have a joint eigenvector v_0 of $A(z)$ and $D(z)$* ; show that $B(z_1) \cdots B(z_M)v_0$ is an eigenvector of $T(z) = A(z) + D(z)$ subject to cancellation of “unwanted terms”; show this cancellation is equivalent to a set of equations on z_1, \dots, z_M : **Bethe ansatz equations.**

For step 1 and 2 also cf. “Keeler’s Theorem” from [Futurama S6E10 (2010)]

Let $\tilde{\beta}, \beta \in \mathbb{C}$. We will consider the open XXZ chain with diagonal boundaries:

$$H \propto \tilde{\beta} \sigma_1^z + \sum_{i=1}^{N-1} \left(\sigma_i^x \sigma_{i+1}^x + \sigma_i^y \sigma_{i+1}^y + \frac{q + q^{-1}}{2} \sigma_i^z \sigma_{i+1}^z \right) + \beta \sigma_N^z.$$

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Sklyanin defined the *two-row transfer matrix*

$$T(z) = \text{Tr}_V \tilde{K}_a^V(z) R_{1a}(z) \cdots R_{Na}(z) K_a^V(z) R_{aN}(z) \cdots R_{a1}(z)$$

(the auxiliary space has the label a). Then $[T(y), T(z)] = 0$ if

- $R(z) \in \text{End}(V \otimes V)$ satisfies the Yang-Baxter equation
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Moreover, for the open XXZ chain with diagonal boundaries, choosing $R(z)$ to be the quantum affine \mathfrak{sl}_2 R-matrix and $K^V(z), \tilde{K}^V(z)$ particular diagonal matrices, we also have $H = \frac{d}{dz} \log T(z)|_{z=1}$ and ABA [Sklyanin (1988)].

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Sklyanin's ABA cannot be done for the most general $K^V(z), \tilde{K}^V(z)$.

An alternative method: Baxter's Q-operator

Suppose we have another family $\{Q(z) \in \text{End}(V^{\otimes N})\}_{z \in \mathbb{C}}$ such that

- $[T(y), T(z)] = [T(y), Q(z)] = [Q(y), Q(z)] = 0$;
- $Q(z)$ and $T(z)$ are diagonalizable and entire functions of z (hence the eigenvalues of $Q(z)$ and $T(z)$ are entire functions of z);
- **Baxter's TQ-relation** holds

$$T(z)Q(z) = \alpha_+(z)Q(pz) + \alpha_-(z)Q(p^{-1}z)$$

for some $p \in \mathbb{C}^\times$ and $\alpha_+(z), \alpha_-(z) \in \mathbb{C}$ (meromorphic in z).

Then one can derive *equations for the zeroes of the eigenvalues of $Q(z)$* in terms of their Weierstrass factorization and $\alpha_\pm(z)$.

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Q-operator according to Bazhanov, Lukyanov & Zamolodchikov (1996)

Mimic the construction of $T(z)$, i.e.

$$Q(z) = \text{Tr}_W(\text{factorized linear map on } W \otimes V^{\otimes N})$$

with W an infinite-dimensional vector space.

- Compare with [Frassek & Szécsényi (2015)] for the Q-operator for the open XXX chain (with diagonal boundaries).
- Extend to a pair $(Q(z), \tilde{Q}(z))$ and express $T(z)$ as a polynomial in these (Q-operators are fundamental objects).
- Connect with other representation-theoretic approaches to the Q-operator, in particular “asymptotic algebra” and “prefundamental representations” [Hernandez & Jimbo (2012); Frenkel & Hernandez (2015)].
- Generalize to other coideal subalgebras of \mathcal{U}_q ; in particular the ones with nondiagonal $K(z), \tilde{K}(z)$.

Today: derivation of the TQ-relation

How do you derive something like

$$T(z)Q(z) = \alpha_+(z)Q(pz) + \alpha_-(z)Q(p^{-1}z) \quad ?$$

Note: $T(z) = \text{Tr}_V(\text{operator})$, $Q(z) = \text{Tr}_W(\text{operator})$.

Today: derivation of the TQ-relation

How do you derive something like

$$\text{Tr}_{V \otimes W}(\text{some operator}) = \text{Tr}_W(\text{another operator}) + \text{Tr}_W(\text{yet another operator}) \quad ?$$

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Proposition (Decomposition of a trace using a short exact sequence)

Consider a short exact sequence of vector spaces:

$$0 \longrightarrow L \xrightarrow{\iota} M \xrightarrow{\tau} L' \longrightarrow 0$$

Let $\phi \in \text{End}(M)$. If all traces are well-defined we have

$$\text{Tr}_M \phi = \text{Tr}_L \iota^{-1} \circ \phi \circ \iota + \text{Tr}_{L'} \tau \circ \phi \circ \tau^{-1}$$

where ι^{-1} is a left-inverse of ι and τ^{-1} is a right-inverse of τ . If also

$$\exists \psi \in \text{End}(L) : \quad \phi \circ \iota = \iota \circ \psi \quad \text{and} \quad \exists \psi' \in \text{End}(L') : \quad \tau \circ \phi = \psi' \circ \tau$$

then

$$\text{Tr}_M \phi = \text{Tr}_L \psi + \text{Tr}_{L'} \psi'.$$

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Definition (Quantum affine \mathfrak{sl}_2)

Let \mathcal{U}_q be the algebra with generators E_i, F_i and invertible k_i ($i \in \{0, 1\}$) and relations

$$\begin{aligned} k_i E_i &= q^2 E_i k_i, & k_i F_i &= q^{-2} F_i k_i, & E_i F_i - F_i E_i &= \frac{k_i - k_i^{-1}}{q - q^{-1}} \\ \left. \begin{aligned} k_i k_j &= k_j k_i & E_i F_j &= F_j E_i \\ k_i E_j &= q^{-2} E_j k_i & k_i F_j &= q^2 F_j k_i \end{aligned} \right\} & \text{if } j \neq i. \\ & \text{q-Serre relations} \end{aligned}$$

\mathcal{U}_q is a Hopf algebra. In particular we have an algebra homomorphism $\Delta : \mathcal{U}_q \rightarrow \mathcal{U}_q \otimes \mathcal{U}_q$

$$\Delta(E_i) = E_i \otimes 1 + k_i \otimes E_i, \quad \Delta(F_i) = F_i \otimes k_i^{-1} + 1 \otimes F_i, \quad \Delta(k_i) = k_i \otimes k_i.$$

Let $V = \mathbb{C}v_0 \oplus \mathbb{C}v_1 \cong \mathbb{C}^2$. For $z \in \mathbb{C}^\times$ define the *evaluation representation* w.r.t. “principal grading”

$$\pi_z : \mathcal{U}_q \rightarrow \text{End}(V)$$

by

$$\begin{aligned} E_0 &\mapsto \begin{pmatrix} 0 & 0 \\ z & 0 \end{pmatrix} & F_0 &\mapsto \begin{pmatrix} 0 & z^{-1} \\ 0 & 0 \end{pmatrix} & k_0 &\mapsto q \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} q^{-1} & 0 \\ 0 & q \end{pmatrix} \\ E_1 &\mapsto \begin{pmatrix} 0 & z \\ 0 & 0 \end{pmatrix} & F_1 &\mapsto \begin{pmatrix} 0 & 0 \\ z^{-1} & 0 \end{pmatrix} & k_1 &\mapsto q \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} q & 0 \\ 0 & q^{-1} \end{pmatrix}. \end{aligned}$$

Note: $\pi_z(E_0) = \pi_{z^{-1}}(F_1)$ and $\pi_z(E_1) = \pi_{z^{-1}}(F_0)$.

More standard choice (“homogeneous grading”)

$$\begin{aligned} E_0 &\mapsto \begin{pmatrix} 0 & 0 \\ z & 0 \end{pmatrix} & F_0 &\mapsto \begin{pmatrix} 0 & z^{-1} \\ 0 & 0 \end{pmatrix} & k_0 &\mapsto q \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} q^{-1} & 0 \\ 0 & q \end{pmatrix} \\ E_1 &\mapsto \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} & F_1 &\mapsto \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} & k_1 &\mapsto q \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} q & 0 \\ 0 & q^{-1} \end{pmatrix}. \end{aligned}$$

is less suitable here.

The quantum Borel subalgebras

$$\mathcal{U}_q^+ = \langle E_0, E_1, k_0^{\pm 1}, k_1^{\pm 1} \rangle, \quad \mathcal{U}_q^- := \langle F_0, F_1, k_0^{\pm 1}, k_1^{\pm 1} \rangle$$

are Hopf subalgebras of \mathcal{U}_q .

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Consider

$$W = \bigoplus_{j \in \mathbb{Z}_{\geq 0}} \mathbb{C} w_j = \mathbb{C} w_0 \oplus \mathbb{C} w_1 \oplus \dots$$

Define linear maps on W as follows:

$$a^\dagger(w_j) = (1 - q^{2(j+1)})w_{j+1}, \quad a(w_j) = w_{j-1}, \quad f(D)(w_j) = f(j)w_j$$

for any function $f : \mathbb{Z}_{\geq 0} \rightarrow \mathbb{C}$ (we have set $w_{-1} = 0$). For $r, z \in \mathbb{C}^\times$, define the representation $\rho_{z,r}^+ : \mathcal{U}_q^+ \rightarrow \text{End}(W)$ by

$$E_0 \mapsto \frac{z}{q^2 - 1} a^\dagger, \quad E_1 \mapsto \frac{z}{1 - q^{-2}} a, \quad k_0 \mapsto r q^{2D}, \quad k_1 \mapsto r^{-1} q^{-2D}.$$

Recall that $\pi_z(E_0) = \pi_{z^{-1}}(F_1)$ and $\pi_z(E_1) = \pi_{z^{-1}}(F_0)$. It would be nice to have an algebra automorphism ψ_q of \mathcal{U}_q such that

$$\pi_z = \pi_{z^{-1}} \circ \psi_q, \quad \psi_q(\mathcal{U}_q^\pm) = \mathcal{U}_q^\mp.$$

Then we could define a “compatible” representation of \mathcal{U}_q^- on W via

$$\rho_{z,r}^- := \rho_{z^{-1},r^{-1}}^+ \circ \psi_q.$$

There are actually many such ψ_q . How do we decide what is the best $\rho_{z,r}^-$? We'll want to have some nice intertwiners

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Lemma

There are \mathcal{U}_q^+ -intertwiners (unique up to a scalar)

$$\begin{aligned} \iota^+(r) &: (W, \rho_{qz,qr}^+) \rightarrow (W \otimes V, \rho_{z,r}^+ \otimes \pi_z) \\ \tau^+(r) &: (W \otimes V, \rho_{z,r}^+ \otimes \pi_z) \rightarrow (W, \rho_{q^{-1}z, q^{-1}r}^+). \end{aligned}$$

W.r.t. the basis (v_0, v_1) of V they are given by

$$\iota^+(r) = \begin{pmatrix} q^{-D} a^\dagger \\ q^{D+1} r \end{pmatrix} \quad \tau^+(r) = (q^D \quad -q^{-D} r^{-1} a^\dagger).$$

Moreover, we have the short exact sequence

$$(W, \rho_{qz,qr}^+) \xrightarrow{\iota^+(r)} (W \otimes V, \rho_{z,r}^+ \otimes \pi_z) \xrightarrow{\tau^+(r)} (W, \rho_{q^{-1}z, q^{-1}r}^+)$$

for all $r, z \in \mathbb{C}^\times$.

Note that \mathcal{U}_q is quasitriangular w.r.t. category of level-0 representations. **Universal R-matrix** \mathcal{R} lying in (completion of) $\mathcal{U}_q^+ \otimes \mathcal{U}_q^-$ [Khoroshkin & Tolstoy, 1991].

In particular, for $z = z_1/z_2$, define

$$\begin{aligned}
 R(z) &:= \text{convenient scalar} \times (\pi_{z_1} \otimes \pi_{z_2})(\mathcal{R}) \\
 &= \begin{pmatrix} 1 - q^2 z^2 & 0 & 0 & 0 \\ 0 & q(1 - z^2) & (1 - q^2)z & 0 \\ 0 & (1 - q^2)z & q(1 - z^2) & 0 \\ 0 & 0 & 0 & 1 - q^2 z^2 \end{pmatrix} \in \text{End}(V \otimes V)
 \end{aligned}$$

$$\begin{aligned}
 L^+(z, r) &:= \text{convenient scalar} \times (\rho_{z_1, r}^+ \otimes \pi_{z_2})(\mathcal{R}) \\
 &= \begin{pmatrix} 1 & -q^{-1} z a^\dagger \\ -q z a & 1 - q^{2(D+1)} z^2 \end{pmatrix} \begin{pmatrix} q^D r & 0 \\ 0 & q^{-D} \end{pmatrix} \in \text{End}(W \otimes V).
 \end{aligned}$$

For any two vector spaces V_1, V_2 define $P : V_1 \otimes V_2 \rightarrow V_2 \otimes V_1$ by $P(v_1 \otimes v_2) = v_2 \otimes v_1$ for $v_i \in V_i$. We have a \mathcal{U}_q -intertwiner $\check{R}(z)$ and a \mathcal{U}_q^+ -intertwiner $\check{L}^+(z, r)$:

$$\check{R}\left(\frac{z_1}{z_2}\right) := PR\left(\frac{z_1}{z_2}\right) : (V \otimes V, \pi_{z_1} \otimes \pi_{z_2}) \rightarrow (V \otimes V, \pi_{z_2} \otimes \pi_{z_1})$$

$$\check{L}^+\left(\frac{z_1}{z_2}, r\right) := PL^+\left(\frac{z_1}{z_2}, r\right) : (W \otimes V, \rho_{z_1, r}^+ \otimes \pi_{z_2}) \rightarrow (V \otimes W, \pi_{z_2} \otimes \rho_{z_1, r}^+)$$

Also recall the \mathcal{U}_q^+ -intertwiner

$$\iota^+(r) : (W, \rho_{qz, qr}^+) \rightarrow (W \otimes V, \rho_{z, r}^+ \otimes \pi_z).$$

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Pictures

$$\check{R}\left(\frac{z_1}{z_2}\right) = \begin{array}{c} z_1 \quad z_2 \\ \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array} \left(\begin{array}{l} \text{since } \check{R}\left(\frac{z_2}{z_1}\right)^{-1} \propto \check{R}\left(\frac{z_1}{z_2}\right), \\ \text{you may think of it as} \end{array} \begin{array}{c} z_1 \quad z_2 \\ \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right)$$

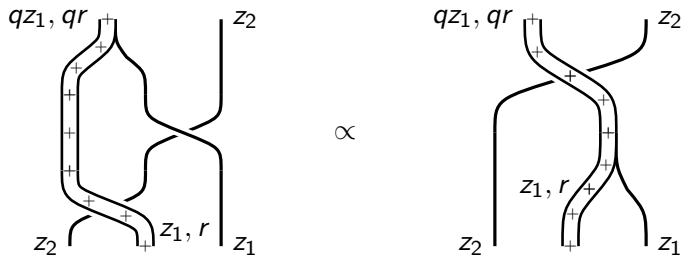
$$\iota^+(r) = \begin{array}{c} qz, qr \\ \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ z, r \quad z \end{array}$$

$$\check{L}^+\left(\frac{z_1}{z_2}, r\right) = \begin{array}{c} z_1, r \quad z_2 \\ \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array}$$

From the coproduct property of the universal R-matrix we obtain

$$\begin{aligned}
 (\check{L}^+(z, r) \otimes \text{Id})(\text{Id} \otimes \check{R}(z))(\iota^+(r) \otimes \text{Id}) &= (z^2 - 1)(\text{Id} \otimes \iota^+(r))\check{L}^+(qz, qr) \\
 (\text{Id} \otimes \tau^+(r))(\check{L}^+(z, r) \otimes \text{Id})(\text{Id} \otimes \check{R}(z)) &= q(q^2 z^2 - 1)\check{L}^+(qz, qr)(\tau^+(r) \otimes \text{Id})
 \end{aligned}$$

where $z = z_1/z_2$. The former in pictures:



Intertwiners for \mathcal{U}_q^- .

We define an algebra automorphism ψ_q of \mathcal{U}_q via the assignments

$$\begin{aligned} E_0 &\mapsto q^{-1}k_1^{-1}F_1 & F_0 &\mapsto qE_1k_1 & k_0 &\mapsto k_1^{-1} \\ E_1 &\mapsto q^{-1}k_0^{-1}F_0 & F_1 &\mapsto qE_0k_0 & k_1 &\mapsto k_0^{-1}. \end{aligned}$$

It satisfies $\pi_{z^{-1}} = \pi_z \circ \psi_q$. Note that $\psi_q(\mathcal{U}_q^\pm) = \mathcal{U}_q^\mp$ so can construct a representation of \mathcal{U}_q^- on W by $\rho_{z^{-1}, r^{-1}}^- := \rho_{z, r}^+ \circ \psi_q$. We have:

Lemma

There are \mathcal{U}_q^- -intertwiners (unique up to a scalar)

$$\begin{aligned} \iota^- &: (W, \rho_{z^{-1}, q^{-1}r}^-) \rightarrow (V \otimes W, \pi_z \otimes \rho_{z, r}^-) \\ \tau^- &: (V \otimes W, \pi_z \otimes \rho_{z, r}^-) \rightarrow (W, \rho_{qz, qr}^-). \end{aligned}$$

They are given by

$$\iota^- = \begin{pmatrix} a^\dagger \\ q \end{pmatrix} \quad \tau^- = \begin{pmatrix} 1 & -q^{-1}a^\dagger \end{pmatrix}.$$

Recall that $\mathcal{R} \in$ completion of $\mathcal{U}_q^+ \otimes \mathcal{U}_q^-$. Define, for $z = z_1/z_2$,

$$\begin{aligned} L^-(z, r) &:= \text{convenient scalar} \times (\pi_{z_1} \otimes \rho_{z_2, r-1}^-)(\mathcal{R}) \\ &= \begin{pmatrix} q^D r & 0 \\ 0 & q^{-D} \end{pmatrix} \begin{pmatrix} 1 & -q^{-1} z a^\dagger \\ -q z a & 1 - q^{2(D+1)} z^2 \end{pmatrix} \in \text{End}(V \otimes W). \end{aligned}$$

Note $L^-(z, r) \neq PL^+(z', r')P$. We define the \mathcal{U}_q^- -intertwiner

$$\check{L}^-(z, r) = PL(z, r) : (V \otimes W, \pi_{z_1} \otimes \rho_{z_2, r-1}^-) \rightarrow (W \otimes V, \rho_{z_2, r-1}^- \otimes \pi_{z_1}).$$

We have, for $z = z_1/z_2$,

$$\begin{aligned} (\text{Id} \otimes \check{L}^-(z, r))(\check{R}(z) \otimes \text{Id})(\text{Id} \otimes \iota^-) &= (z^2 - 1)(\iota^- \otimes \text{Id})\check{L}^-(qz, qr) \\ (\tau^- \otimes \text{Id})(\text{Id} \otimes \check{L}^-(z, r))(\check{R}(z) \otimes \text{Id}) &= q(q^2 z^2 - 1)\check{L}^-(qz, qr)(\tau^- \otimes \text{Id}) \end{aligned}$$

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More pictures

$$\iota^- = \begin{array}{c} \frac{z}{q}, \frac{r}{q} \\ \diagup \quad \diagdown \\ z \quad \quad \quad z, r \end{array}$$

$$\check{L}^-\left(\frac{z_1}{z_2}, r\right) = \begin{array}{c} z_1 \quad \quad \quad z_2, \frac{1}{r} \\ \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array}$$

Reflection equations for the right boundary

Let $\xi \in \mathbb{C}$. Consider

$$K^V(z) = \begin{pmatrix} \xi z^2 - 1 & 0 \\ 0 & \xi - z^2 \end{pmatrix} \in \text{End}(V)$$

$$K^W(z) = \prod_{i=1}^D (q^{2i} z^2 - \xi) \in \text{End}(W).$$

$K^V(z)$ is the K-matrix used in [Sklyanin (1988)].

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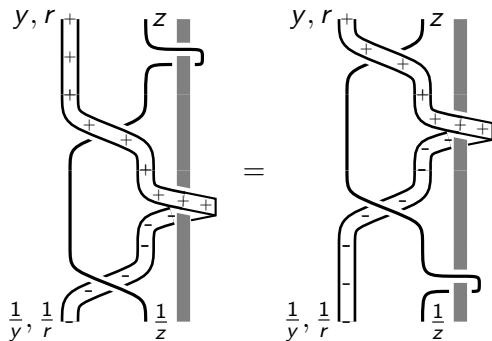
$$K^V(z) = \begin{array}{c} z \\ \text{---} \\ \text{---} \\ \frac{1}{z} \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} \left(\begin{array}{l} \text{since } K^V(z^{-1})^{-1} \propto K^V(z), \\ \text{you may think of it as} \end{array} \begin{array}{c} z \\ \text{---} \\ \text{---} \\ \frac{1}{z} \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} \right)$$

$$K^W(z) = \begin{array}{c} z, r \\ \text{---} \\ \text{---} \\ \frac{1}{z}, \frac{1}{r} \end{array} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array}$$

They satisfy

$$\begin{aligned} \check{R}\left(\frac{y}{z}\right)(\text{Id} \otimes K^V(y))\check{R}(yz)(\text{Id} \otimes K^V(z)) &= \\ &= (\text{Id} \otimes K^V(z))\check{R}(yz)(\text{Id} \otimes K^V(y))\check{R}\left(\frac{y}{z}\right) \in \text{End}(V \otimes V) \end{aligned}$$

$$\begin{aligned} \check{L}^-\left(\frac{y}{z}, r\right)(\text{Id} \otimes K^W(y))\check{L}^+(yz, r)(\text{Id} \otimes K^V(z)) &= \\ &= (\text{Id} \otimes K^V(z))\check{L}^-(yz, r)(\text{Id} \otimes K^W(y))\check{L}^+\left(\frac{y}{z}, r\right) \in \text{End}(W \otimes V) \end{aligned}$$

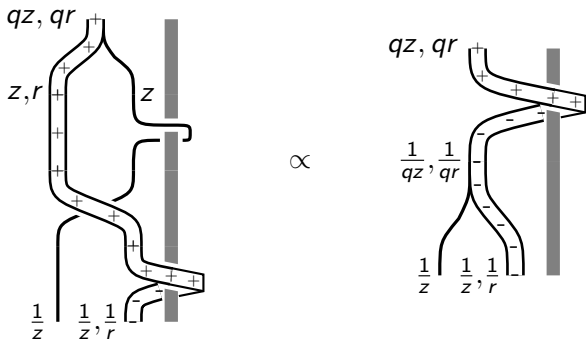


See [Cherednik, 1992] for the first appearance of such “generalized reflection equations”.

You should think of $K^W(z)$ as turning \mathcal{U}_q^+ -modules into \mathcal{U}_q^- -modules in the following sense:

$$(\text{Id} \otimes K^W(z))\check{L}^+(z^2, r)(\text{Id} \otimes K^V(z))\iota^+(r) = r(z^4 - 1)(q^2 z^2 - \xi)\iota^- K^W(qz)$$

$$\tau^-(\text{Id} \otimes K^W(z))\check{L}^+(z^2, r)(\text{Id} \otimes K^V(z)) = r(\xi z^2 - 1)K^W(q^{-1}z)\tau^+(r),$$



The left boundary

There are also solutions $\tilde{K}^V(z) \in \text{End}(V)$ and $\tilde{K}^W(z) \in \text{End}(W)$ of “dual” reflection equations turning \mathcal{U}_q^- -reps back into \mathcal{U}_q^+ -reps. They satisfy

$$(\text{Id} \otimes \tilde{K}^V(z)) \tilde{L}^+(z^2, r) P(\text{Id} \otimes \tilde{K}^W(z)) l^- = r^{-1} \frac{1 - \tilde{\xi} q^2 z^2}{1 - q^2 z^4} l^+(r) \tilde{K}^W(qz)$$

$$\tau^+(\text{Id} \otimes \tilde{K}^V(z)) \tilde{L}^+(z^2, r) P(\text{Id} \otimes \tilde{K}^W(z)) = r^{-1} \frac{1 - q^4 z^2}{1 - q^2 z^4} (z^2 - \tilde{\xi}) \tilde{K}^W(q^{-1}z) \tau^-$$

where $\tilde{L}^+(z^2, r) = ((L^+(z, r)^{t_V})^{-1})^{t_V}$.

More on $K^V(z)$ and $K^W(z)$

Consider derived Kac-Moody algebra $\widehat{\mathfrak{sl}}_2$ and its involutive automorphism $\theta = (\text{Chevalley involution}) \circ (\text{nontrivial diag. automorphism})$.

Let $c_0, c_1 \in \mathbb{C}^\times$. The subalgebra

$$\mathcal{B}_{c_0, c_1} = \langle E_0 - c_0 F_1 k_0, \quad E_1 - c_1 F_0 k_1, \quad k_0^{\pm 2} \rangle \subset \mathcal{U}_q$$

is a left coideal, i.e. $\Delta(\mathcal{B}_{c_0, c_1}) \subset \mathcal{U}_q \otimes \mathcal{B}_{c_0, c_1}$, and satisfies

$\mathcal{B}_{c_0, c_1} \xrightarrow{q \rightarrow 1} \mathcal{U}(\widehat{\mathfrak{sl}}_2^\theta)$ if $c_0, c_1 \in q^\mathbb{Z}$, see [Kolb, 2014].

Note: $\lim_{q \rightarrow 1} \psi_q = \text{Ad}(\chi)\theta$ where $\chi(\alpha_0) = \chi(\alpha_1) = -1$.

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If $q/c_0 = c_1/q =: \xi$ then $K^V(z)$ is the unique-up-to-a-scalar intertwiner for the \mathcal{B}_{c_0, c_1} -modules (V, π_z) and $(V, \pi_{1/z})$:

$$K^V(z)\pi_z(X) = \pi_{1/z}(X)K^V(z) \quad \text{for all } X \in \mathcal{B}_{c_0, c_1}.$$

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$$K^V(z)\pi_z(X) = \pi_{1/z}(X)K^V(z) \quad \text{for all } X \in \mathcal{B}_{c_0, c_1}.$$

Since $\mathcal{B}_{c_0, c_1} \not\subseteq \mathcal{U}_q^\pm$, we cannot evaluate $\rho_{z,r}^\pm(X)$ for all $X \in \mathcal{B}_{c_0, c_1}$ so the following does not make sense:

$$K^W(z)\rho_{z,r}^\pm(X) = \rho_{1/z, 1/r}^\pm(X)K^W(z) \quad \text{for all } X \in \mathcal{B}_{c_0, c_1}.$$

- 1 Introduction & Overview
- 2 Algebras & Representations
- 3 Intertwiners & suchlike
- 4 Baxter's Q-operator & the TQ-relation

Transfer matrix and Q-operator

Let $\mathbf{t} = (t_1, \dots, t_N) \in (\mathbb{C}^\times)^N$. The **Q-operator** and **transfer matrix** are given by

$$Q(z; \mathbf{t}) := \left(\begin{smallmatrix} z^2 & 0 \\ 0 & 1 \end{smallmatrix} \right)^{\otimes N} \text{Tr}_W \tilde{K}_a^W(z) \mathcal{M}_a^W(z; \mathbf{t}),$$
$$T(z; \mathbf{t}) := \text{Tr}_V \tilde{K}_b^V(z) \mathcal{M}_b^V(z; \mathbf{t})$$

where

$$\mathcal{M}_a^W(z; \mathbf{t}) := L_{1a}^-(t_1 z, 1) L_{2a}^-(t_2 z, 1) \cdots L_{Na}^-(t_N z, 1) \cdot K_a^W(z) L_{aN}^+\left(\frac{z}{t_N}, 1\right) \cdots L_{a2}^+\left(\frac{z}{t_2}, 1\right) L_{a1}^+\left(\frac{z}{t_1}, 1\right)$$
$$\mathcal{M}_b^V(z; \mathbf{t}) := R_{1b}(t_1 z) R_{2b}(t_2 z) \cdots R_{Nb}(t_N z) K_b^V(z) R_{bN}\left(\frac{z}{t_N}\right) \cdots R_{b2}\left(\frac{z}{t_2}\right) R_{b1}\left(\frac{z}{t_1}\right).$$

- Here a labels the auxiliary space W , b labels the auxiliary space V .
- If $|\xi/\tilde{\xi}| < |q|^{2N}$ then $Q(z; \mathbf{t})$ is well-defined for generic z .

Generalizing arguments from [Sklyanin (1988)], we have

$$Q(z; \mathbf{t})T(z; \mathbf{t}) = \begin{pmatrix} z^2 & 0 \\ 0 & 1 \end{pmatrix}^{\otimes N} \text{Tr}_{W \otimes V} \tilde{K}_b^V(z) \tilde{L}_{ab}^+(z^2, 1) \tilde{K}_a^W(z) \times \\ \times \mathcal{M}_a^W(z, 1; \mathbf{t}) L_{ab}^+(z^2, 1) \mathcal{M}_b^V(z; \mathbf{t}).$$

Generalizing arguments from [Sklyanin (1988)], we have

$$Q(z; \mathbf{t})T(z; \mathbf{t}) = \begin{pmatrix} z^2 & 0 \\ 0 & 1 \end{pmatrix}^{\otimes N} \text{Tr}_{W \otimes V} \tilde{K}_b^V(z) \tilde{L}_{ab}^+(z^2, 1) \tilde{K}_a^W(z) \times \\ \times \mathcal{M}_a^W(z, 1; \mathbf{t}) L_{ab}^+(z^2, 1) \mathcal{M}_b^V(z; \mathbf{t}).$$

Combining the results in “Intertwiners and suchlike” we have

$$\begin{aligned} & \tilde{K}_b^V(z) \tilde{L}_{ab}^+(z^2, r) \tilde{K}_a^W(z) \mathcal{M}_a^W(z, r; \mathbf{t}) L_{ab}^+(z^2, r) \mathcal{M}_b^V(z; \mathbf{t}) (\iota^+(r) \otimes \text{Id}) = \\ & = \alpha_+(z; \mathbf{t}) (\iota^+(r) \otimes \text{Id}) \tilde{K}_a^W(qz) \mathcal{M}_a^W(qz, qr; \mathbf{t}) \\ & (\tau^+(r) \otimes \text{Id}) \tilde{K}_b^V(z) \tilde{L}_{ab}^+(z^2, r) \tilde{K}_a^W(z) \mathcal{M}_a^W(z, r; \mathbf{t}) L_{ab}^+(z^2, r) \mathcal{M}_b^V(z; \mathbf{t}) = \\ & = \alpha_-(z; \mathbf{t}) \tilde{K}_a^W(q^{-1}z) \mathcal{M}_a^W(q^{-1}z, q^{-1}r; \mathbf{t}) (\tau^+(r) \otimes \text{Id}) \end{aligned}$$

where

$$\alpha_+(z; \mathbf{t}) = \frac{z^4 - 1}{q^2 z^4 - 1} (q^2 \tilde{\xi} z^2 - 1) (q^2 z^2 - \xi) \prod_{n=1}^N ((zt_n)^2 - 1) \left(\left(\frac{z}{t_n} \right)^2 - 1 \right)$$

$$\alpha_-(z; \mathbf{t}) = q^{2N} \frac{q^4 z^4 - 1}{q^2 z^4 - 1} (z^2 - \tilde{\xi}) (\xi z^2 - 1) \prod_{n=1}^N ((qzt_n)^2 - 1) \left(\left(\frac{qz}{t_n} \right)^2 - 1 \right).$$

We invoke the Proposition to decompose the trace over $W \otimes V$:

$$Q(z; \mathbf{t})T(z; \mathbf{t}) = \alpha_+(z; \mathbf{t}) \begin{pmatrix} z^2 & 0 \\ 0 & 1 \end{pmatrix}^{\otimes N} \text{Tr}_W \tilde{K}_0^W(qz) \mathcal{M}_0^W(qz, q; \mathbf{t}) + \\ + \alpha_-(z; \mathbf{t}) \begin{pmatrix} z^2 & 0 \\ 0 & 1 \end{pmatrix}^{\otimes N} \text{Tr}_W \tilde{K}_0^W(q^{-1}z) \mathcal{M}_0^W(q^{-1}z, q^{-1}; \mathbf{t}).$$

Since the r -dependence factors out of $L^+(z, r)$ and $L^-(z, r)$ and $Q(z; \mathbf{t})$ commutes with $\begin{pmatrix} y^2 & 0 \\ 0 & 1 \end{pmatrix}^{\otimes N}$, we obtain

$$Q(z; \mathbf{t})T(z; \mathbf{t}) = \alpha_+(z; \mathbf{t}) \begin{pmatrix} (qz)^2 & 0 \\ 0 & 1 \end{pmatrix}^{\otimes N} \text{Tr}_W \tilde{K}_0^W(qz) \mathcal{M}_0^W(qz, 1; \mathbf{t}) + \\ + \alpha_-(z; \mathbf{t}) \begin{pmatrix} (q^{-1}z)^2 & 0 \\ 0 & 1 \end{pmatrix}^{\otimes N} \text{Tr}_W \tilde{K}_0^W(q^{-1}z) \mathcal{M}_0^W(q^{-1}z, 1; \mathbf{t}) \\ = \alpha_+(z; \mathbf{t})Q(qz; \mathbf{t}) + \alpha_-(z; \mathbf{t})Q(q^{-1}z; \mathbf{t}).$$

One can now proceed to re-obtain the Bethe ansatz equations found by [Sklyanin (1988)].