

Non-Gaussian Theta series and the supermembrane

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Strings vs. membranes

- In the Polyakov formulation and after going to the conformal gauge, string theory is **Gaussian** on the worldsheet:

$$S = \frac{1}{l_s^2} \int d^2\sigma \sqrt{\gamma} \gamma^{\alpha\beta} \partial_\alpha X^i \partial_\beta X^j G_{ij} + i \epsilon^{\alpha\beta} \partial_\alpha X^i \partial_\beta X^j B_{ij}$$

- By contrast, membranes are **interacting** on their world volume, including **cubic** interactions:

$$S = \frac{1}{l_p^3} \int d^3\sigma \sqrt{\gamma} (\gamma^{\alpha\beta} \partial_\alpha X^i \partial_\beta X^j G_{ij} - 1) + i \epsilon^{\alpha\beta\gamma} \partial_\alpha X^i \partial_\beta X^j \partial_\gamma X^k C_{ijk}$$

Bergshoeff Sezgin Townsend

There is neither a conformal gauge nor a genus expansion.

- Yet supermembranes (or their M(atrix) regularization) are the only candidates to date to describe the microscopic degrees of freedom of M-theory. In particular κ symmetry implies 11D SUGRA eom.

Q: *Can we tame the membrane non-linearities ?*

BPS strings and Gaussian theta series

- For specific "BPS saturated" amplitudes, supersymmetry guarantees the **cancellation** between bosonic and fermionic fluctuations, leaving the contribution of **bosonic zero-modes**.
- E.g, the *Riemann*⁴ amplitude in type IIA/B compactified on a torus T^n reads at one-loop

$$f_{R^4}^{1-loop} = \int_{U(1) \backslash Sl(2)/Sl(2, Z)} \frac{d^2\tau}{\tau_2^2} Z_n(\tau; g, B)$$

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where Z_n is the partition function

$$Z_n = V_n \sum_{m^i, n^i \in Z} \exp \left(-\pi \frac{|m^i + n^i \tau|^2}{\tau_2} + 2\pi i m^i B_{ij} n^j \right)$$

for the constant winding configurations

$$X^i = m^i \sigma_1 + n^i \sigma_2, \quad \gamma_{\alpha\beta} = \frac{1}{\tau_2} \begin{pmatrix} 1 & \tau_1 \\ \tau_1 & |\tau|^2 \end{pmatrix}$$

- Z_n is manifestly invariant under the **modular** group $Sl(2, Z)$, and also (manifestly after Poisson resummation) under the **T-duality** group $SO(n, n, Z)$.
- In fact, Z_n is a standard **symplectic** theta series

$$Z_n(g, B; \tau) = \theta_{Sp}(T) := \sum_{m \in Z^{2n}} \exp(2\pi i m^I T_{IJ} m^J)$$

restricted to $Sl(2, Z) \times SO(d, d, Z) \subset Sp(2n, Z)$.

BPS membranes and non-Gauss. theta series

- Similarly, the insertion of four graviton vertices on the membrane with topology T^3 just saturates the fermionic zero-modes, and leaves the partition function of the **constant winding modes**,

$$X^i = n_\alpha^i \sigma^\alpha, \quad \gamma = cste \in Gl(3)/SO(3)$$

- Invariance under the **modular** group $Sl(3, Z)$ and the target-space **U-duality** group

$$E_{n+1}(Z) \supset SO(n, n, Z) \rtimes Sl(n + 1, Z)$$

Hull Townsend

should fix the summation measure, related to the index of $2 + 1$ dimensional $U(N)$ SYM for $N = \det(n_\alpha^i)$ coinciding membranes.

- One should construct a theta series invariant under a larger group containing $R^+ \times Sl(3) \times E_{n+1}$:

$$M/T^3 : \quad [Sl(3) \times Sl(2)] \times [R \times Sl(3)] \subset E_6$$

$$M/T^6 : \quad E_6 \times Sl(3) \subset E_8$$

BP Nicolai Plefka Waldron

BPS membranes and exact amplitudes

- Integrating over the worldvolume moduli, i.e. the fundamental domain of $Gl(3)/SO(3)$, one should reproduce the full non-perturbative R^4 amplitude, including toroidal **membrane instantons**:

$$\int_{SO(3)\backslash Sl(3)/Sl(3,Z)\times R^+} d\gamma Z_d(\gamma; G, C, \dots)$$

$$? = \text{Eis}_{string; s=3/2}^{E_d(Z)}(G, C, \dots)$$

*Green Gutperle Vanhove
Kiritsis Obers BP*

- A naive attempt based on the Polyakov action for the membrane and assuming unit summation measure reproduces the correct **instanton saddle points** and **mass spectrum**, but the summation measure / degeneracy is off: (unfortunately) math has to rescue string theory, not reverse.

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- $E_{n\geq 6}$ is not contained in a symplectic group. In addition one expects a **cubic action** due to coupling to C_3 : we need **non-Gaussian theta series**.

Non-Gauss. Poisson resum. : a toy model

- The invariance of the standard theta series under $\tau \rightarrow -1/\tau$ relies on **Poisson resummation** formula,

$$\sum_{n \in \mathbb{Z}} f(n) = \sum_{m \in \mathbb{Z}} \tilde{f}(m) , \quad \tilde{f} = \text{Fourier}(f)$$

and the fact that the Gaussian is preserved under Fourier transform:

$$\int dx \exp(ix^2/\hbar + ipx) = \sqrt{\hbar} \exp(-i\hbar p^2)$$

In other words, for a Gaussian the **semi-classical** (saddle) approximation is **exact**. Perturbative QFT arises from generalizing to ∞ x 's.

- Interestingly, there exists a generalization of this to **cubic** characters:

$$\int dx^{0123} (1/x_0) \exp\left(i \frac{x_1 x_2 x_3}{\hbar x_0} + p_i x^i\right) = (\hbar/p_0) \exp\left(-i\hbar \frac{p_1 p_2 p_3}{p_0}\right)$$

Again, the saddle point approximation is **exact**. Such cubic forms are classified by **(A)DE**:

$$\begin{aligned} D_n & : I_3 = x_1(x_2 x_3 + x_4 x_5 + \dots) \\ E_6 & : I_3 = \det(3 \times 3) \\ E_7 & : I_3 = \text{Pf}(6 \wedge 6) \\ E_8 & : I_3 = 27^3|_1 \end{aligned}$$

Etingof Kazhdan Polischuk

- This observation is at the heart of the construction of theta series for simply laced groups.

Kazhdan Savin

Theta series under the hood

The standard theta series can be deconstructed as

$$\theta(\tau) = \sum_{m \in \mathbb{Z}} \exp(i\pi\tau m^2) = \langle \delta_{\mathbb{Z}}, \rho(g_\tau) f \rangle, \quad g_\tau = \begin{pmatrix} 1 & \tau_1 \\ 0 & \tau_2 \end{pmatrix} / \sqrt{\tau_2}$$

- $\rho(g)$ is a **unitary representation** of $g \in Sl(2)$ on functions of one variable:

$$E_+ = i\pi x^2, \quad H = \frac{1}{2} (x\partial_x + \partial_x x), \quad E_- = \frac{i}{4\pi} \partial_x^2,$$

satisfying the $Sl(2, R)$ algebra,

$$[H, E_\pm] = \pm 2 E_\pm, \quad H = [E_+, E_-],$$

- $f(x) = e^{-x^2/2}$ is a **spherical vector**, i.e. a function ϕ (quasi) annihilated by the compact generator $K = E_+ + E_-$; in particular invariant under the Weyl generator $\exp(i\pi K) = \text{Fourier}$.
- $\delta_{\mathbb{Z}}$ is a **distribution** invariant under $Sl(2, \mathbb{Z})$,

$$\delta_{\mathbb{Z}}(x) = \sum_{m \in \mathbb{Z}} \delta(x - m) = \prod_{p \text{ prime}} f_p(x),$$

where each f_p is invariant under Fourier transform over the **p -adic field**.

All these parts can be engineered for any simply-laced G

Min. rep. and conformal quantum mechanics

- The representation space is constructed as the Hilbert space of a **conformal quantum mechanical system** whose phase space is the minimal nilpotent orbit of G .

de Alfaro Fubini Furlan

- Classically, the Lagrangian is manifestly invariant under G_0 ,

$$\mathcal{L} = \dot{x}_0 \dot{y} + 2x_0 \sqrt{I_3(\dot{x}_i)} + \frac{d}{dt} \left(\frac{x_0 I_3(x_i)}{y} \right)$$

the Hamiltonian is invariant under $G_1 \supset G_0$ mixing positions and momenta,

$$\mathcal{H} = p^2 + y^2 + \frac{1}{y^2} I_4(x_I, p_I)$$

and the conformal transformations, $t \rightarrow (at+b)/(ct+d)$ extend the symmetry group to $G \supset G_1 \supset G_0$.

BP Waldron; Gunaydin Koepsell Nicolai

- The quantization of this system produces the minimal representation of G as differential operators acting on wave functions.

Quantization and spherical vector

- Quantization is carried out by replacing $p_i \rightarrow id/dx_i$ and adding normal ordering terms so that the generators still close. More abstractly, it proceeds by a sequence of **induced representations**.

Kazhdan Savin; Brylinsky Kostant

- The Weyl generators

$$(Sf)(y, x_0, \dots, x_{N-1}) = \int \frac{\prod_{i=0}^{N-1} dp_i}{(2\pi y)^{N/2}} f(y, p_0, \dots, p_{N-1}) e^{\frac{i}{y} \sum_{i=0}^{N-1} p_i x_i}$$

$$(Af)(y, x_0, x_1, \dots, x_{N-1}) = \exp\left(-\frac{iI_3}{x_0 y}\right) f(-x_0, y, x_1, \dots, x_{N-1})$$

satisfy the correct relation $(AS)^3 = (SA)^3$ thanks to the invariance of the cubic character under Fourier transform.

- The spherical vector is the **ground state wave function** of this quantum mechanical system, invariant under the maximal compact subgroup K of G . It can be found by solving PDEs $E_\alpha + E_{-\alpha} = 0$.

Kazhdan BP Waldron CMP 2001

- The summation measure δ_Z is obtained by solving the same problem (with different methods) over the p -adic field Z_p .

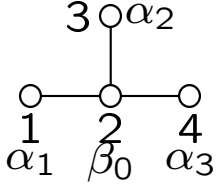
Kazhdan Polischuk, to appear

Minimal Nilpotent Orbit

$Sl(n)$	\supset	$Sl(2) \times Sl(n-2) \times R^+$
adj	$=$	$(3, 1, 0) \oplus [(2, n-2, 1) \oplus (2, n-2, -1)] \oplus (1, adj, 0)$
	$=$	$1 \oplus 2(n-2) \oplus [1 \oplus adj] \oplus 2(n-2) \oplus 1$
$SO(2n)$	\supset	$Sl(2) \times Sl(2) \times SO(2n-4)$
adj	$=$	$(3, 1, 1) \oplus (2, 2, 2n-4) \oplus (1, 3, 1) \oplus (1, 1, adj)$
	$=$	$1 \oplus (2, 2n-4) \oplus [1 \oplus adj] \oplus (2, 2n-4) \oplus 1$
E_6	\supset	$Sl(2) \times Sl(6)$
78	$=$	$(3, 1) \oplus (2, 20) \oplus (1, 35)$
	$=$	$1 \oplus 20 \oplus [1 \oplus 35] \oplus 20 \oplus 1$
E_7	\supset	$Sl(2) \times SO(6, 6)$
133	$=$	$(3, 1) \oplus (2, 32) \oplus (1, 66)$
	$=$	$1 \oplus 32 \oplus [1 \oplus 66] \oplus 32 \oplus 1$
E_8	\supset	$Sl(2) \times E_7$
248	$=$	$(3, 1) \oplus (2, 56) \oplus (1, 133)$
	$=$	$1 \oplus 56 \oplus [1 \oplus 133] \oplus 56 \oplus 1$

G	dim	H_0	G_1^*	I_3
$Sl(n)$	$n-1$	$Sl(n-3)$	$[n-3]$	0
$SO(n, n)$	$2n-3$	$SO(n-3, n-3)$	$1 \oplus [2n-6]$	$x_1(\sum x_{2i}x_{2i+1})$
E_6	11	$Sl(3) \times Sl(3)$	$(3, 3)$	det
E_7	17	$Sl(6)$	15	Pf
E_8	29	E_6	27	$27^{\otimes_s 3} _1$

Example: $D_4 = SO(4, 4)$



$$\begin{aligned}\beta_i &= \beta_0 + \alpha_i, & \gamma_i &= \beta_0 + \alpha_j + \alpha_k, \\ \gamma_0 &= \beta_0 + \alpha_1 + \alpha_2 + \alpha_3, & \omega &= \beta_0 + \gamma_0\end{aligned}$$

$$I_3 = x_1 x_2 x_3$$

$$E_{\beta_0} = y\partial_0 \quad E_{\gamma_0} = ix_0$$

$$E_{\beta_1} = y\partial_1 \quad E_{\gamma_1} = ix_1$$

$$E_{\beta_2} = y\partial_2 \quad E_{\gamma_2} = ix_2$$

$$E_{\beta_3} = y\partial_3 \quad E_{\gamma_3} = ix_3$$

$$E_\omega = iy.$$

$$\begin{aligned}E_{\alpha_1} &= -x_0\partial_1 - \frac{ix_2x_3}{y}, & E_{-\alpha_1} &= x_1\partial_0 + iy\partial_2\partial_3 \\ E_{\alpha_2} &= -x_0\partial_2 - \frac{ix_3x_1}{y}, & E_{-\alpha_2} &= x_2\partial_0 + iy\partial_3\partial_1 \\ E_{\alpha_3} &= -x_0\partial_3 - \frac{ix_1x_2}{y}, & E_{-\alpha_3} &= x_3\partial_0 + iy\partial_1\partial_2.\end{aligned}$$

$$E_{-\beta_0} = -x_0\partial + \frac{ix_1x_2x_3}{y^2}$$

$$E_{-\beta_1} = x_1\partial + \frac{x_1}{y}(1 + x_2\partial_2 + x_3\partial_3) - ix_0\partial_2\partial_3$$

$$E_{-\gamma_0} = 3i\partial_0 + iy\partial\partial_0 - y\partial_1\partial_2\partial_3 + i(x_0\partial_0 + x_1\partial_1 + x_2\partial_2 + x_3\partial_3)\partial_0$$

$$E_{-\gamma_1} = iy\partial_1\partial + i(2 + x_0\partial_0 + x_1\partial_1)\partial_1 - \frac{x_2x_3}{y}\partial_0$$

$$\begin{aligned}E_{-\omega} &= 3i\partial + iy\partial^2 + \frac{i}{y} + ix_0\partial_0\partial + \frac{x_1x_2x_3}{y^2}\partial_0 + \\ &+ \frac{i}{y}(x_1x_2\partial_1\partial_2 + x_3x_1\partial_3\partial_1 + x_2x_3\partial_2\partial_3) \\ &+ i(x_1\partial_1 + x_2\partial_2 + x_3\partial_3)\left(\partial + \frac{1}{y}\right) + x_0\partial_1\partial_2\partial_3,\end{aligned}$$

Example: $D4 = SO(4, 4)$ (continued)

$$\begin{aligned}
 H_{\beta_0} &= -y\partial + x_0\partial_0 \\
 H_{\alpha_1} &= -1 - x_0\partial_0 + x_1\partial_1 - x_2\partial_2 - x_3\partial_3 \\
 H_{\alpha_2} &= -1 - x_0\partial_0 - x_1\partial_1 + x_2\partial_2 - x_3\partial_3 \\
 H_{\alpha_3} &= -1 - x_0\partial_0 - x_1\partial_1 - x_2\partial_2 + x_3\partial_3,
 \end{aligned}$$

- Spherical vector: solve PDE $(E_\alpha - E_{-\alpha})f = 0$:

$$f_{D_4} = \frac{4\pi}{|z|} K_0 \left(\frac{\sqrt{(|z|^2 + x_1^2)(|z|^2 + x_2^2)(|z|^2 + x_3^2)}}{|z|^2} \right) e^{-i \frac{x_0 x_1 x_2 x_3}{y|z|^2}}$$

where $z = y + ix_0$. This is manifestly invariant under $SO(4, 4)$ triality, permuting x_1, x_2, x_3 .

- Rk: this minimal representation is equivalent to the one arising from the string worldsheet instantons on T^4 : by Fourier transforming on x_3 and renaming variables, we can rewrite f as

$$f = \frac{e^{-2\pi\sqrt{(m^{ij})^2}}}{\sqrt{(m^{ij})^2}}, \quad \epsilon^{ijkl} m_{ij} m_{kl} = 0$$

This implies that the one-loop BPS amplitude of Het/T^4 is invariant under $SO(4, 4)$ triality, as predicted from Heterotic-Type II duality.

Kiritsis Obers BP

E_6 theta series and Membrane/ T^3

- For E_6 the minimal nilpotent orbit is parameterized by 11 positions (y, x_0, M_α^i) transforming as $1 + 1 + (3, 3)$ under the linearly represented subgroup $G_0 = Sl(3) \times Sl(3)$: there are **two unexpected quantum numbers** (y, x_0) . The cubic form is simply $I_3 = \det(M)$.
- The spherical vector invariant under the maximal compact $SU(8)$ can be obtained by integrating the PDE $(E_\alpha - E_{-\alpha})f = 0$:

$$f_{E_6} = \frac{e^{-(S_1 + iS_2)}}{|z|^2 S_1}, \quad z = y + ix_0$$

$$S_1 = \frac{\sqrt{\det(MM^t + |z|^2 \mathbb{I}_3)}}{|z|^2}, \quad S_2 = \frac{x_0 \det(M)}{y|z|^2}$$

- The variables M can be identified with the winding numbers of the membrane $X^i = \mathcal{M}_\alpha^i \sigma^\alpha$. z looks like a complex scalar on the worldvolume, or rather an $Sl(2)$ doublet of **3-form field strengths**: no dof, cosmological constant on the worldvolume.
- The representation satisfies identically

$$\Delta_{Sl(3)_1} = \Delta_{Sl(3)_2} = \Delta_{Sl(3)_3}$$

which agrees with the result expected for f_{R^4} after integrating over wv $Sl(3)$.

Summary - prospects

- We have obtained explicit formulae for theta series for D_n and for exceptional groups $E_{6,7,8}$. Can one understand **degenerate** contributions? Can one find a simple combinatoric formula for this summation measure?
- This construction is based on the quantization of the phase space of an exotic **conformal quantum mechanical model**. Any deeper meaning or application to motion on black hole moduli space?
- It relies on the **invariance of the cubic character** $\exp(iI_3(x_i)/x_0)$ under Fourier transform: a class of non-Gaussian yet free cubic models. Can models be found with ∞ degrees of freedom? the topological open membrane?
- Applied to the membrane, it predicts **new quantum numbers** (y, x_0) besides the expected windings n_α^i . What is their interpretation? Can S be generalized to include fluctuations while preserving duality?