



# Review of final-state structure of pp interactions at the LHC

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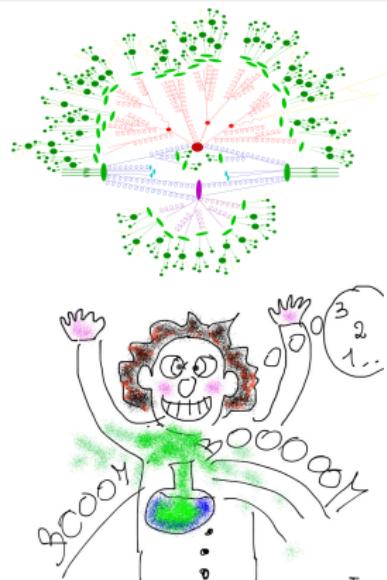
**QCD at cosmic energies  
Kalchida (Greece)  
May 2016**



# Outline

- ➊ Final states at the LHC
- ➋ Generalities on Monte Carlo event generators
- ➌ Generator tuning and machinery
  - Available tunes
  - Our understanding of QCD exp. data
  - Current issues and incompatibilities
- ➍ Comparison between predictions and measurements
  - Diffractive selections
  - Hard QCD events and multijet scenarios
  - Vector-boson final states
  - Top-antitop final states
- ➎ Universality of tunes?
- ➏ Summary and conclusions

DISCLAIMER: Mainly results from ATLAS and CMS!

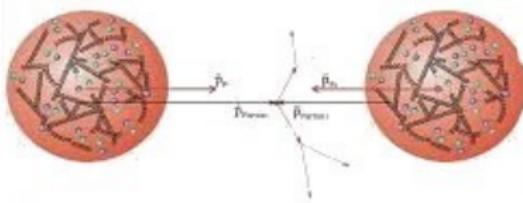


# Hard scattering at the LHC

To simulate proton-proton collisions, physicists generally use  
**Monte Carlo event generators**

## Ingredients of the hard scattering

- Factorization theorem
- Parton distribution functions
- Matrix element calculation
- ...starting from lowest order in  $\alpha_S$  but  
might include additional real and  
virtual corrections



$$\sigma_{ab \rightarrow F}(Q^2) = \int dx_1 dx'_1 \ f_a^1(x_1, Q^2) \ f_b^2(x'_1, Q^2) \ \hat{\sigma}_{ab}(x_1, x'_1, Q^2)$$

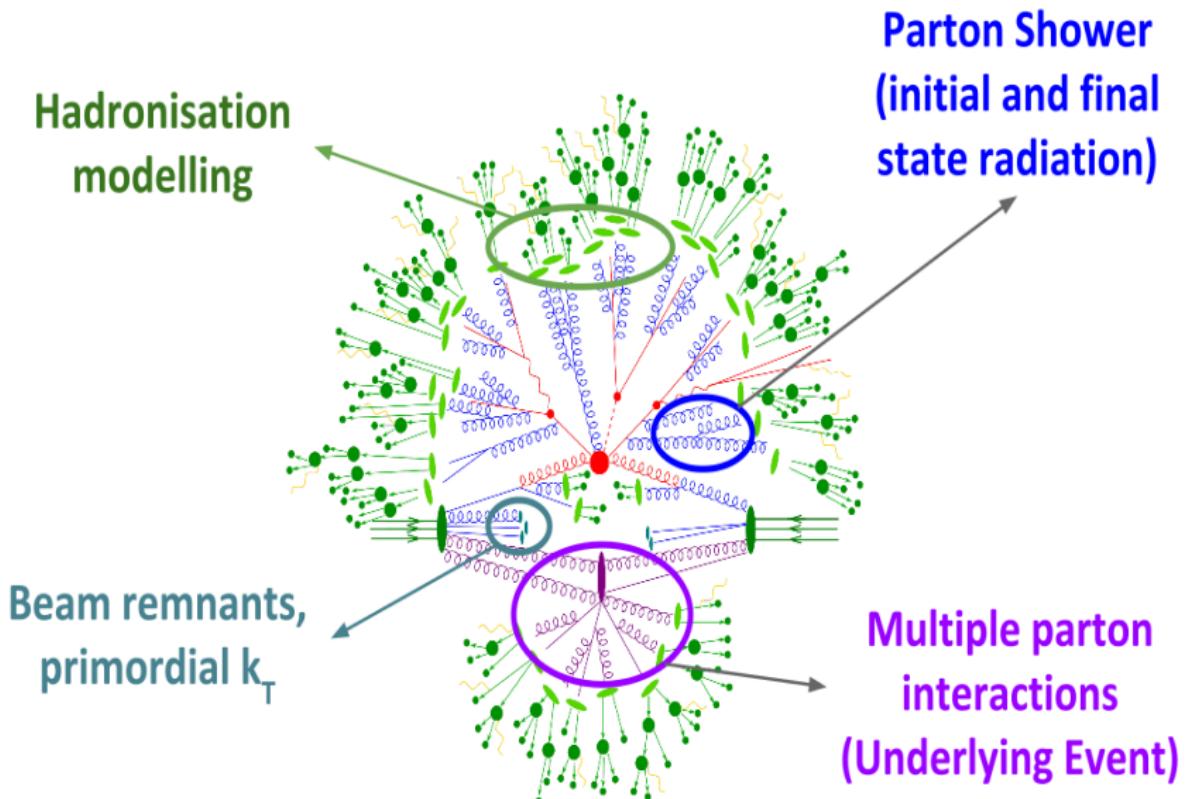
Total Cross Section

Partonic Cross Section

Parton Distribution Functions

with  $x$  = longitudinal proton momentum fraction carried by the parton  
 $Q^2$  = scale of the scattering

# The underlying event at the LHC

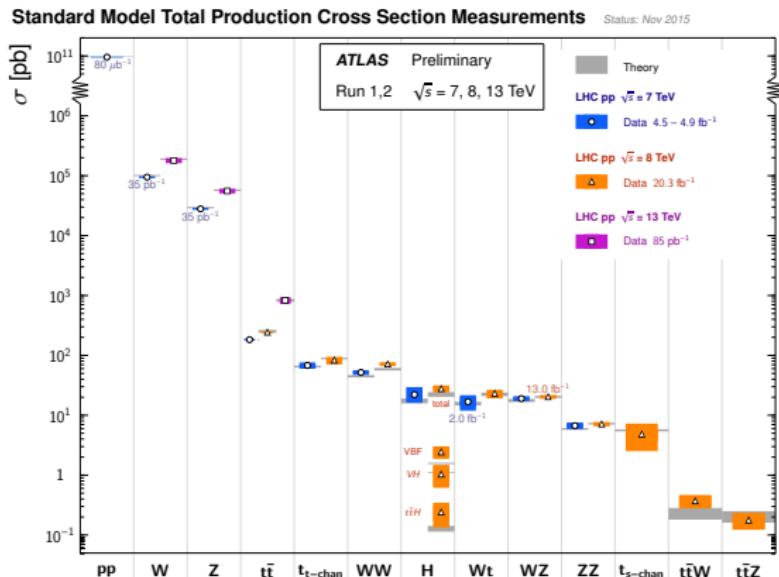


From Frank Siegert

## What can be produced in proton-proton collisions?

From soft to hard..

- Soft particles
- Jets
- Heavy flav. jets
- Vector boson
- Top quark
- Vector boson pair
- Higgs
- .....



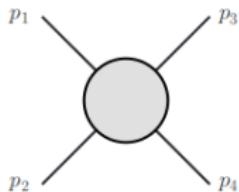
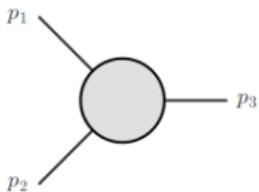
Huge collection of measurements about these final states..in this talk only some of them with focus on our understanding of the experimental results!

- Pure matrix element (ME) simulation
  - MC integration of cross section + PDF
  - No hadronization nor UE
  - Useful for theoretical studies, no exclusive events generated
- Event generators
  - Combination of ME and parton showers
  - Generator for fixed-order ME combined with leading log (LL) parton shower MC
  - Exclusive events, useful for experimentalists

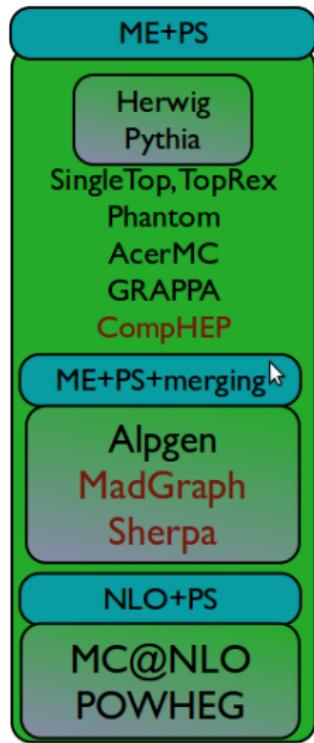
# MC generator types

Type I : Leading order matrix element & leading log parton shower

LO ME for hard processes  
[ $2 \rightarrow 1$  or  $2 \rightarrow 2$ ]



Parton Shower:  
Re-summation of leading logarithms ...  
[Examples: Pythia, Herwig]



[F. Maltoni]

# MC generator types

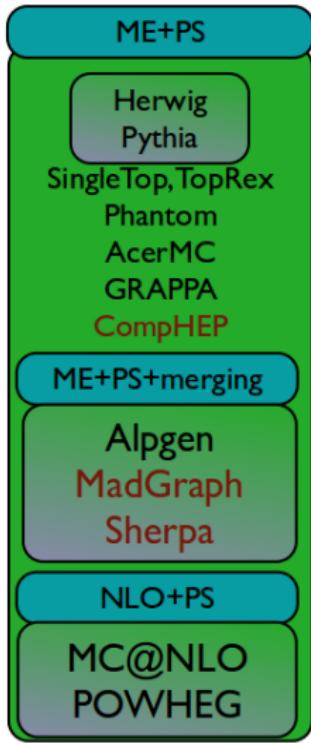
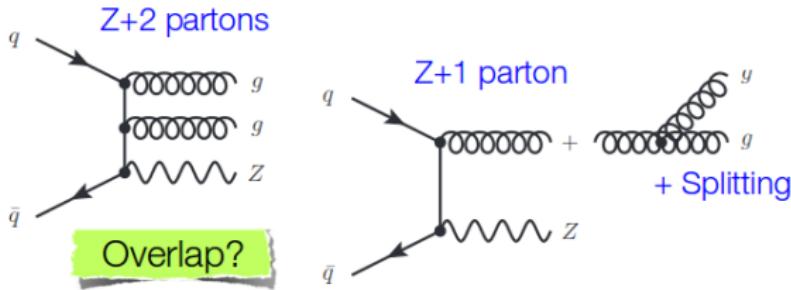
Type II : Leading order matrix element,  
parton shower & merging

i.e.: MEs for  $2 \rightarrow n$  processes (e.g. W/Z + jets)

PS with LO generator [Pythia or Herwig]

Examples: ALPGEN, MadGraph, Sherpa

Challenge: Remove overlap between jets  
from ME and jets from parton shower  
[MLM matching, CKKW]



[F. Maltoni]

# MC generator types

## Type III: Next-to-leading order ME & leading-log parton shower

hard processes simulated to NLO accuracy including real & virtual corrections ...

- improved description of cross sections & kinematic distributions

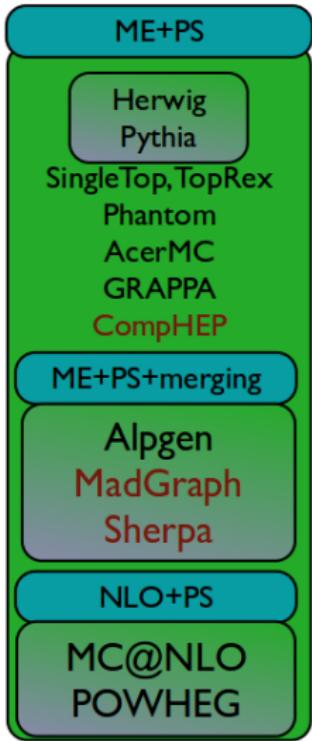
Remove phase-space **overlap** between jets from NLO ME and leading log PS ...

Mechanism: **subtraction** of PS emissions already generated in hard process at NLO ...

Fairly recent development,  
but heavily used in ATLAS and CMS ....

Examples:

MC@NLO (since 2002), POWHEG (since 2007)



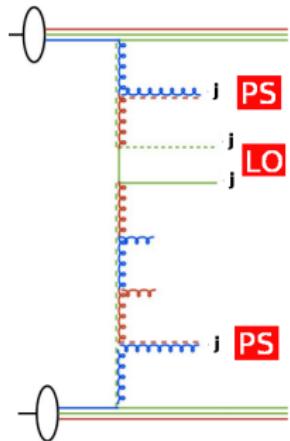
[F. Maltoni]

# Details of the Monte Carlo generators

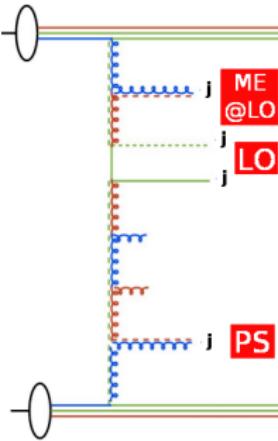
Example: multijet final state

- PYTHIA8 and HERWIG++: LO MC generators with extra jets from PS & MPI
- SHERPA, MADGRAPH: matrix element with N-jets (extra real emission)
- POWHEG: matrix element with a hard emission @ NLO (real & virtual)

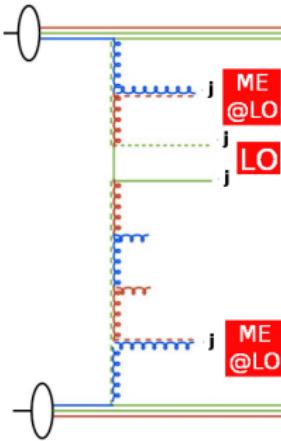
PYTHIA, HERWIG



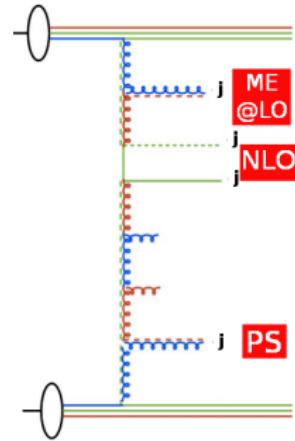
SHERPA



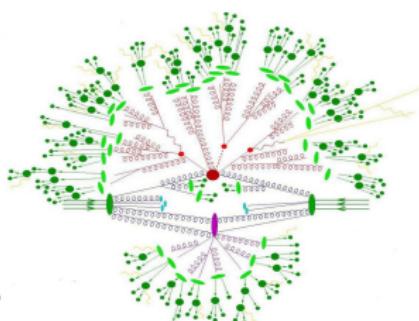
MADGRAPH@LO



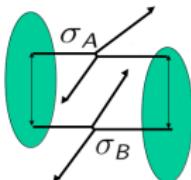
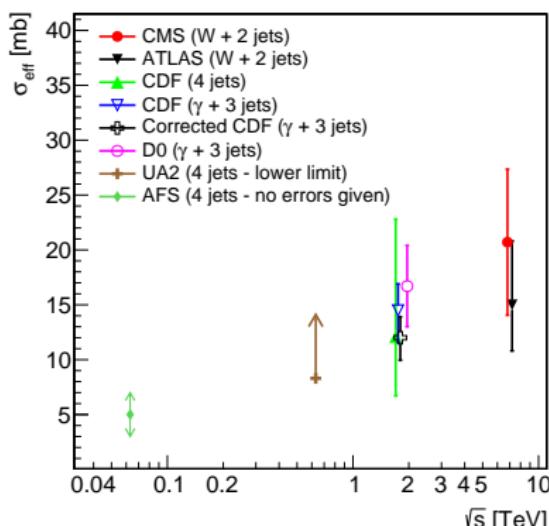
POWHEG



# The Underlying Event at the LHC



Hard scattering  
Initial and Final State Radiation  
Multiple Parton Interactions (MPI)  
Beam-beam remnants  
Hadronization



$$P_A = \frac{\sigma_A}{\sigma_{tot}^{pp}}$$

$$P_B = \frac{\sigma_B}{\sigma_{tot}^{pp}}$$

$$\sigma_{AB}^{DPS} \propto \frac{m}{2} P_A P_B \sigma_{tot}^{pp}$$

$$\sigma_{AB}^{DPS} = \frac{m \sigma_A \sigma_B}{2 \sigma_{eff}}$$

$$\sigma_{eff} \ll \sigma_{tot}^{pp}$$

Need for correlations!

# How do we deal with that?



Montecarlo event generators (PYTHIA, HERWIG, SHERPA..)



Parameters need to be adjusted (tuned) to describe data

- MPI

e.g.  $p_T^0 = p_T^{ref} \cdot (E/E_{ref})^\epsilon$

Proton matter distribution profile

Colour reconnection

- Primordial  $k_T$

e.g. Width of the gaussian used for modelling the parton primordial  $k_T$  inside the proton

- Parton shower

e.g. Strong coupling value

Regularization cut-off

Upper scale

- Hadronization

e.g. Length of fragmentation strings

Strange baryon suppression

## How does one tune all these?

- Choice of parameter ranges and sensitive observables
- Predictions for different parameter choices and interpolation of the MC response
- Data-MC difference and minimisation over parameter space

# Some "official" tunes from the authors..

- PYTHIA 8      **Monash Tune - PDF: NNPDF2.3LO** ([EPJ C74 \(2014\) 8](#))
- HERWIG++      **UE-EE-5C - PDF: CTEQ6L1** ([JHEP 1310 \(2013\) 113](#))

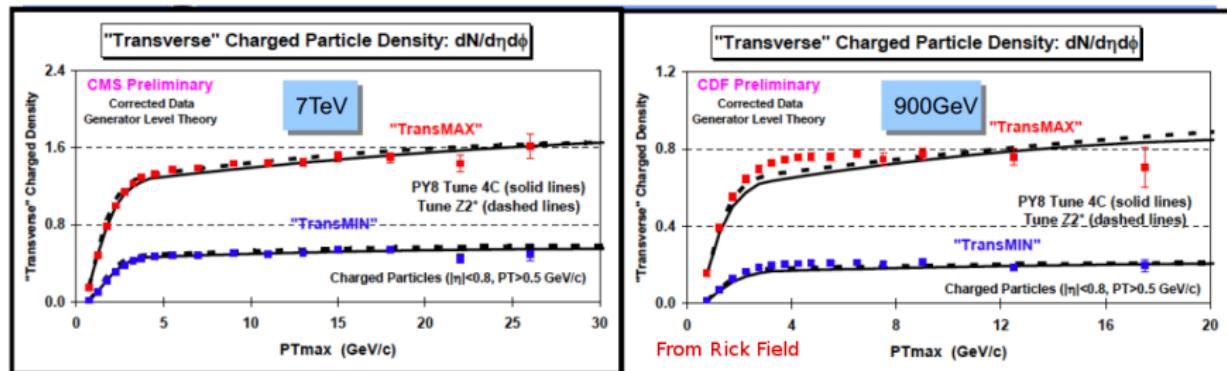
	PYTHIA 8 Monash	HERWIG++ UE-EE-5C
(soft) MPI	UE pp( $\bar{p}$ ) data at various $\sqrt{s}$	UE pp( $\bar{p}$ ) data at various $\sqrt{s}$ Value of measured $\sigma_{\text{eff}}$
Primordial $k_T$	$p_T$ spectrum of lepton pair from Z decays in hadronic collisions	$p_T$ spectrum of Z boson in hadronic collisions
Parton shower	Event shapes in p $\bar{p}$ interactions (taken from previous tune)	Jet multiplicity, jet rates and shapes at various colliders
Hadronization	Particle multiplicities in hadronic Z decays in e $^+e^-$ collisions	Particle production at various colliders

General approach is a "factorized" tuning procedure with only some of the components investigated

**N.B. For the DPS simulation, generators normally use parameters of soft MPI and extrapolate to harder scales**

# Can they be refined?

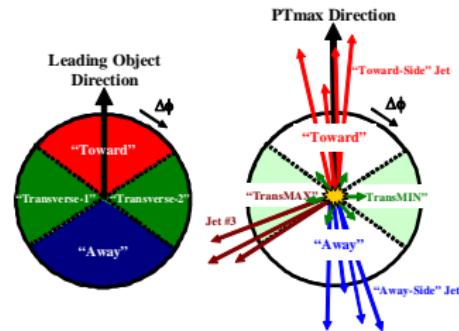
How well do they describe observables at different energy?



→  $N_{ch}$  and  $p_T^{sum}$  as a function of the leading charged particle

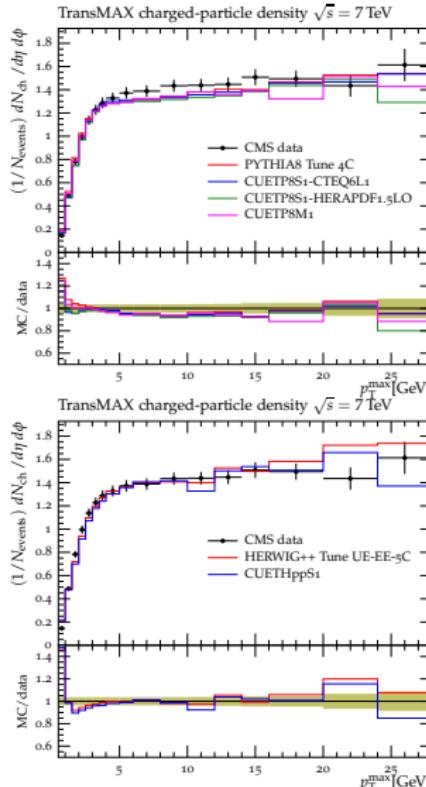
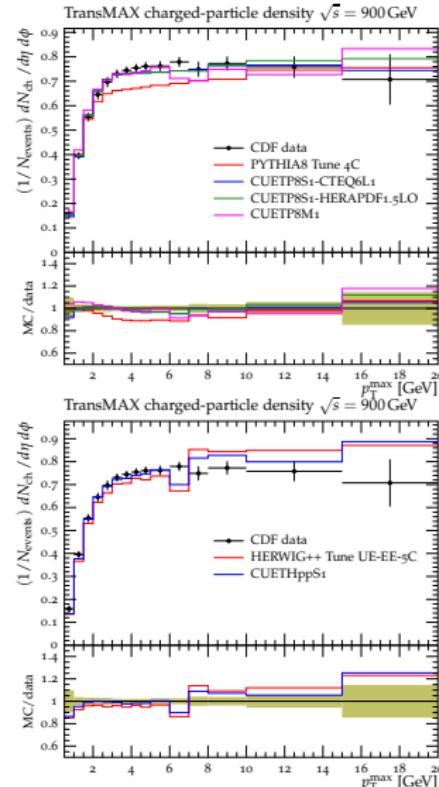
- TRANS MIN: sensitive to MPI
- TRANS MAX: sensitive to MPI and PS
- TRANS DIF: sensitive to PS
- TRANS AVE: sensitive to MPI and PS

**PURPOSE:** Tuning MPI and colour  
reconnection parameters



# Results of the energy-dependence tuning

Charged particle mult. in the MAX reg. @ 0.9 (left) and 7 (right) TeV



New tunes!

- PYTHIA 8 (CUETP8)
- HERWIG++ (CUETHpp)

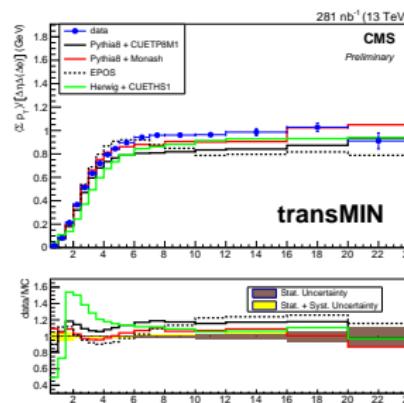
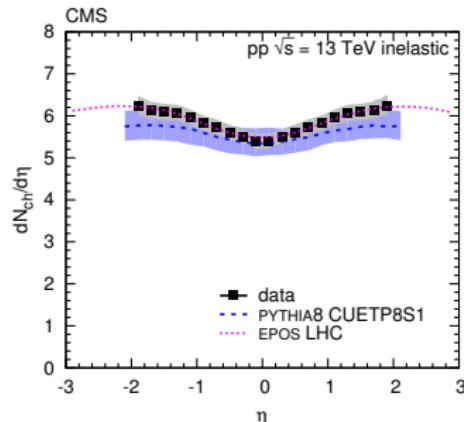
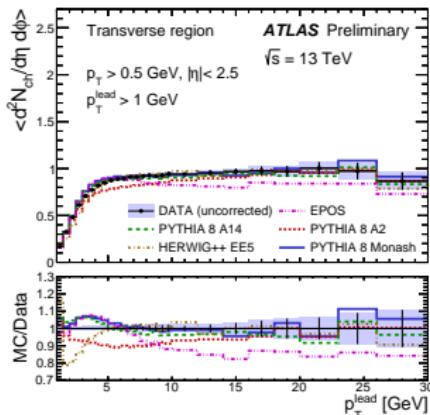
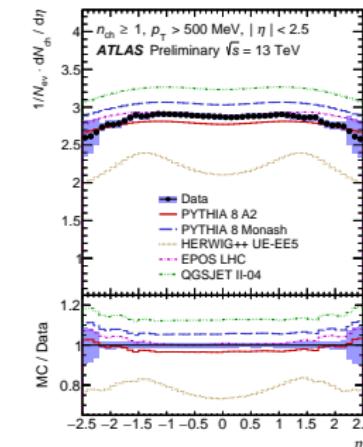
with various PDFs

Better constrain of the energy extrapolation  
CR changes with the choice of the PDF

Rising part and plateaux region are well predicted by the new tunes

(arXiv 1512.00815)

# Tune performance at the new energy



$\sqrt{s} = 13 \text{ TeV}$

TOP:  
 $dN/d\eta$

ATLAS-CONF-2015-028,  
PLB751 (2015)

BOTTOM:  
 $N_{ch}$  vs  $p_T^{lead}$

ATLAS-PHYS-2015-019,  
CMS-FSQ-15-007

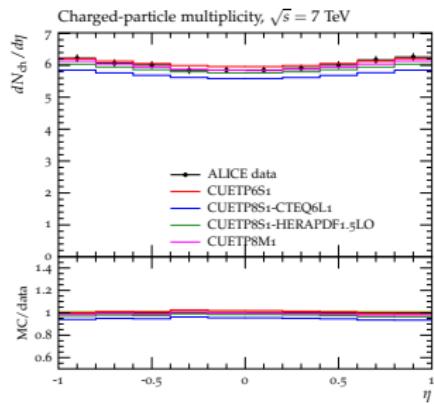
Current tunes  
reproduce the  
data at 13 TeV!

May the energy  
dependence of  
the MPI be  
improved in the  
generators?

$$p_T^0 = p_T^{ref} \cdot (E/E_{ref})^\epsilon$$

# What about other observables? (arXiv 1512.00815)

## Min. Bias observables ✓



## Forward region

## UE vs $p_T^{jet}$

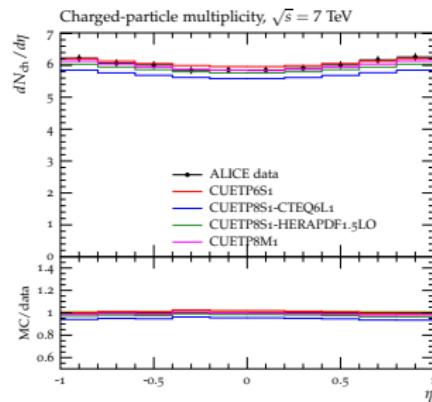
## Incl. jet cross sections

## Z-boson observables

## DPS observables

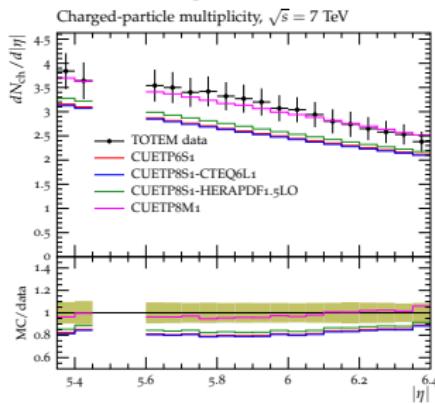
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Incl. jet cross sections

## Forward region ✓



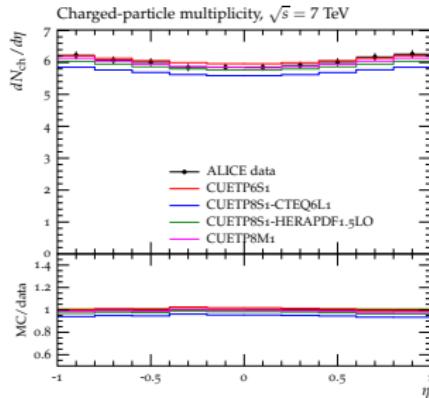
Z-boson observables

## UE vs $p_T^{\text{jet}}$

DPS observables

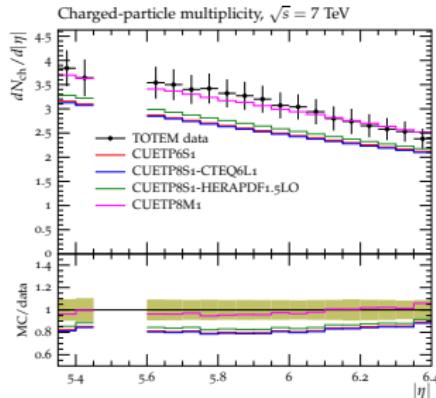
# What about other observables? (arXiv 1512.00815)

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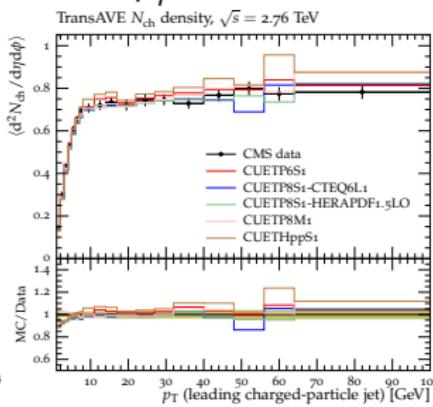
Incl. jet cross sections

## Forward region ✓



Z-boson observables

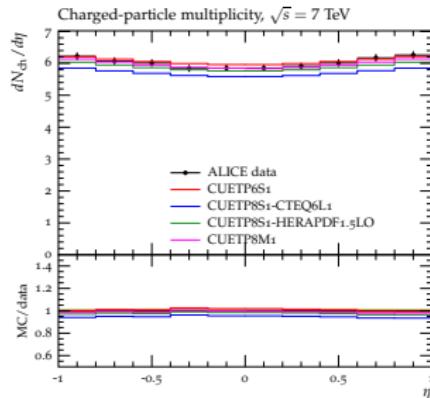
## UE vs $p_T^{\text{jet}}$ ✓



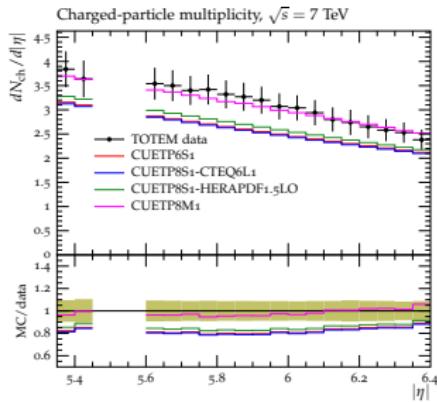
DPS observables

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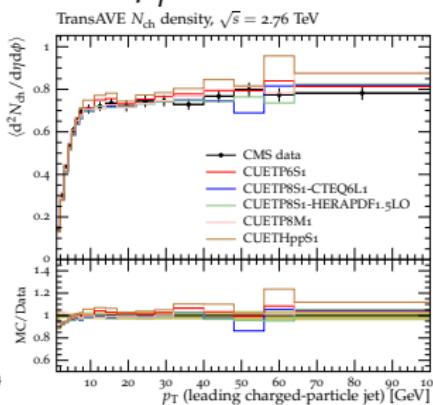
## Min. Bias observables ✓



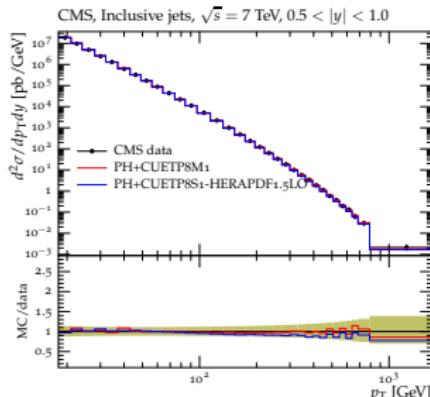
## Forward region ✓



## UE vs p\_T^jet ✓



## Incl. jet cross sections ✓

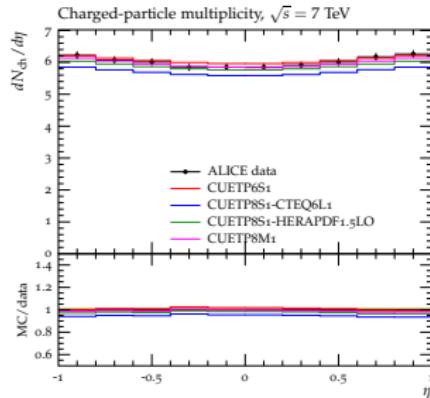


## Z-boson observables

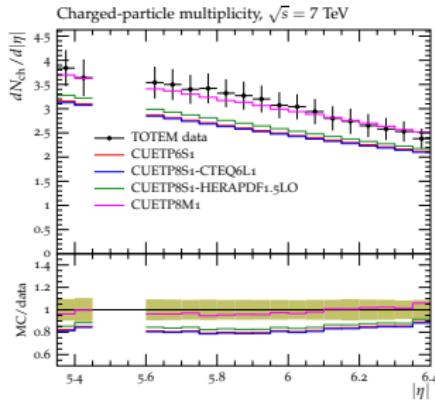
## DPS observables

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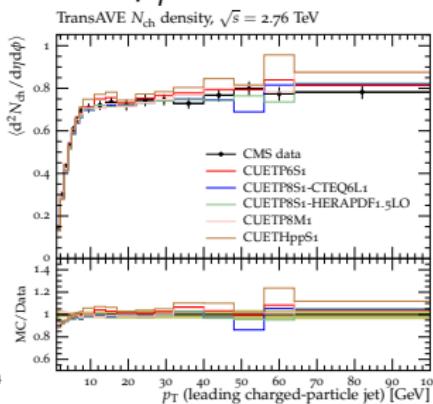
## Min. Bias observables ✓



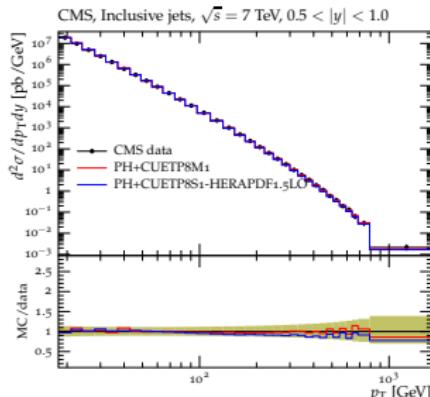
## Forward region ✓



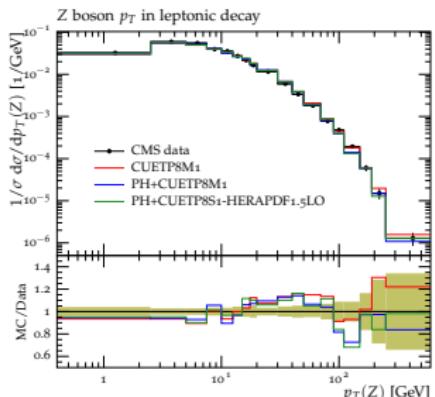
## UE vs p\_T^jet ✓



## Incl. jet cross sections ✓



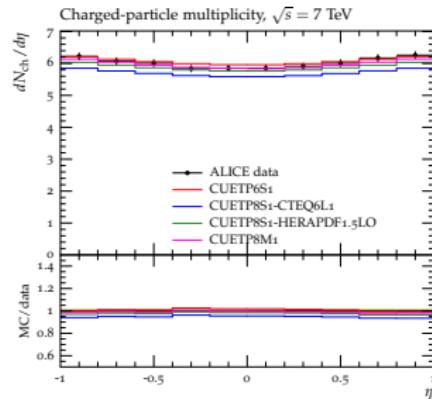
## Z-boson observables ✓



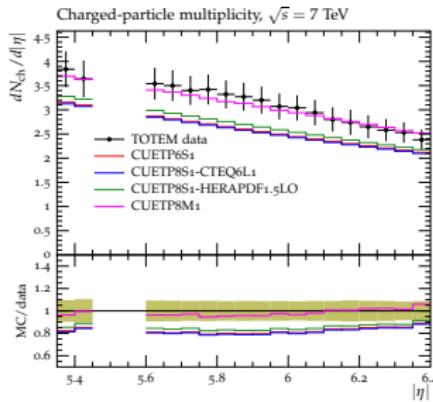
## DPS observables

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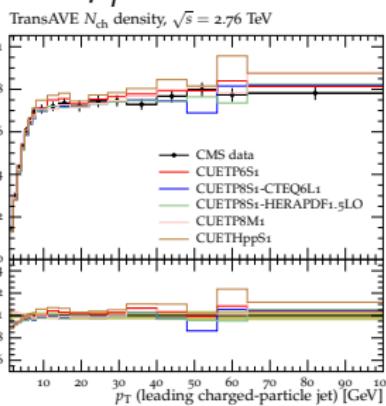
## Min. Bias observables ✓



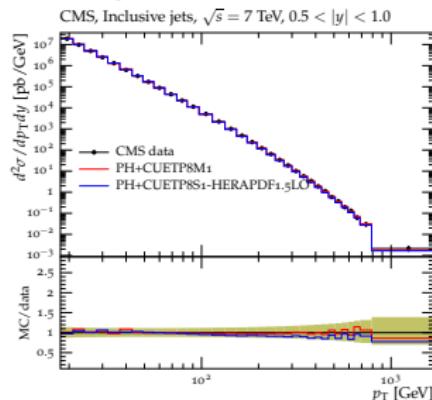
## Forward region ✓



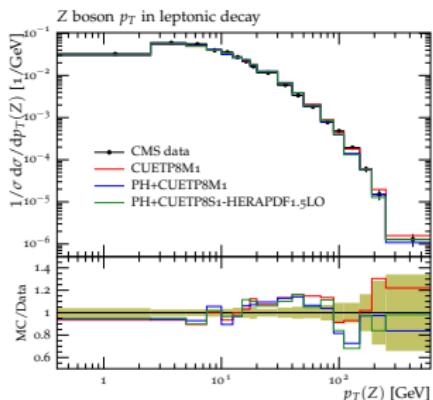
## UE vs $p_T^{\text{jet}}$ ✓



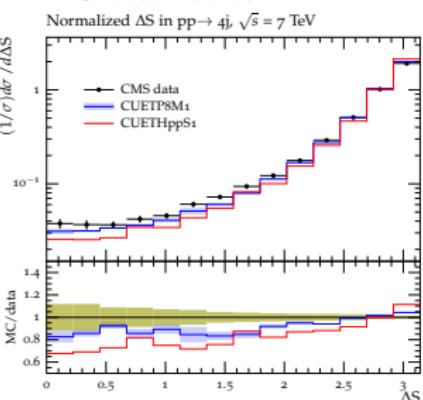
## Incl. jet cross sections ✓



## Z-boson observables ✓



## DPS observables X

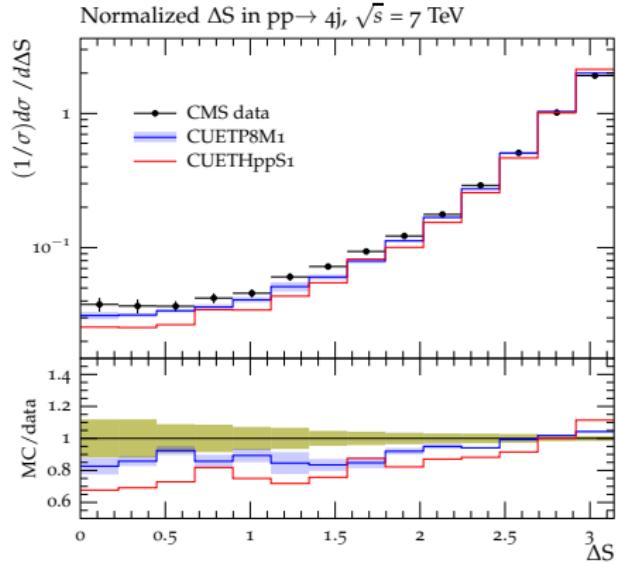
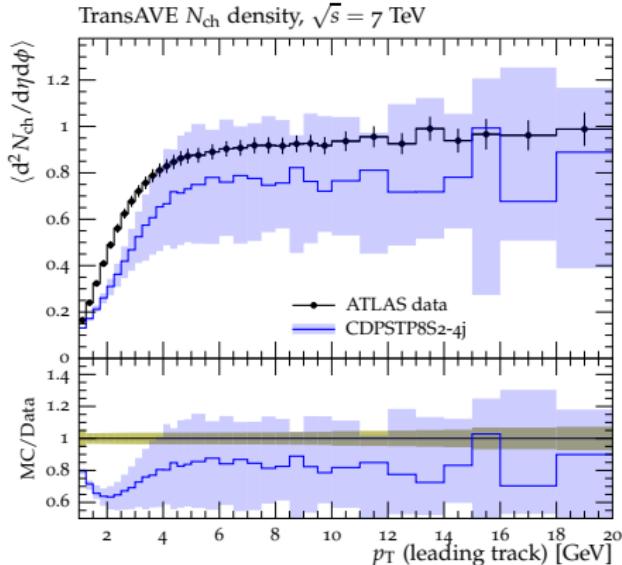


# Further look at UE/DPS comparisons (arXiv 1512.00815)

Tune name	$\sigma_{\text{eff}}$ value (mb)
CUETP8M1	$26.0^{+0.6}_{-0.2}$
CUETHppS1	$15.2^{+0.5}_{-0.6}$

Dedicated tune to DPS-sensitive observables in four-jet final state

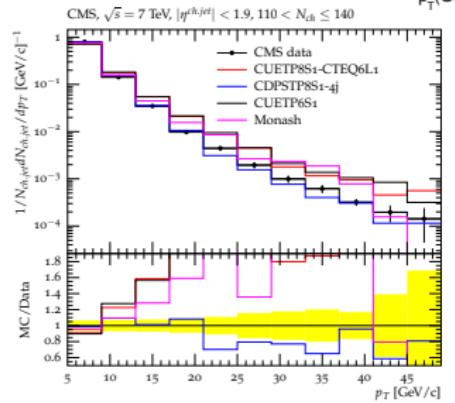
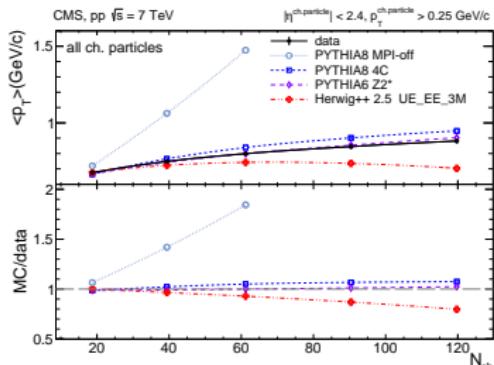
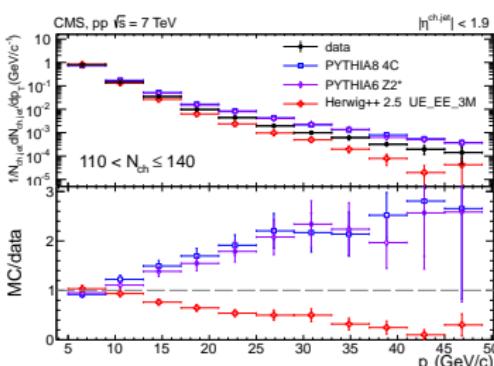
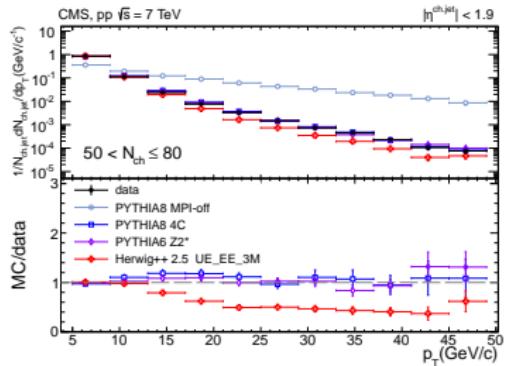
$$\text{CDPSTP8S2} \rightarrow \sigma_{\text{eff}} = 19.0^{+4.7}_{-3.0} \text{ mb}$$



Not able to describe both UE and DPS observables at with the same set of tunes  
Indication for need of a refinement of the current MPI model?

# High multiplicity scenarios

Low multiplicities → mainly softer MPI components  
 High multiplicities → contribution of harder MPI



- 1- Charged-particle measurement
- 2- Jet measurement

Good agreement for UE tunes at low multiplicities but worse for increasing multiplicities

→ Better description at high multiplicities for the DPS tunes!

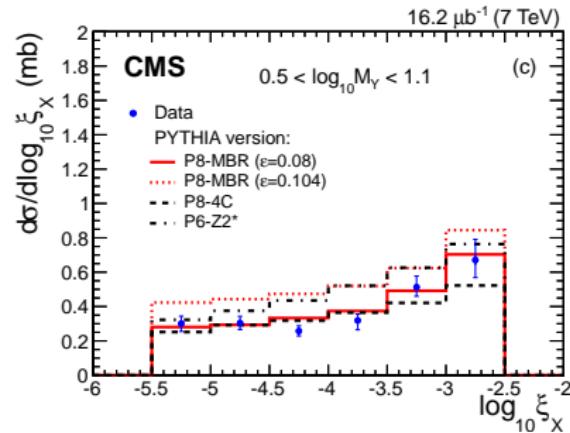
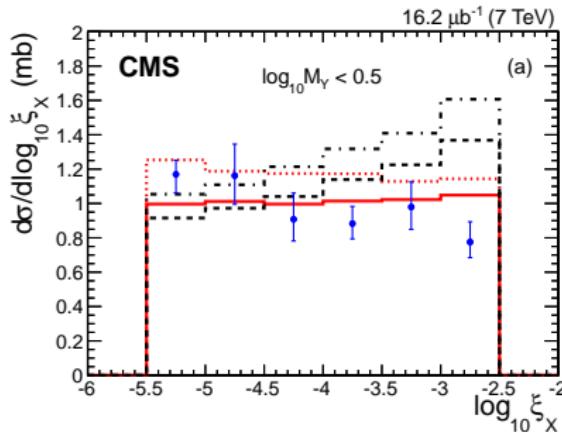
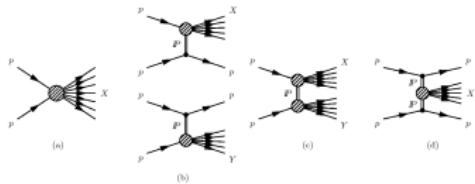
Normalized jet  $p_T$  spectrum at different multiplicities (top and bottom right)  
 Charged particle spectra  $p_T$  as a function of multiplicity (bottom left)

Eur.Phys.J. C73 (2013) 2674

# Diffractive-enhanced final states (I)

Diffractive dissociation cross sections as a function of the fraction of the dissociated mass in different ranges dominated by different components

→ Test of models for diffractive scenarios



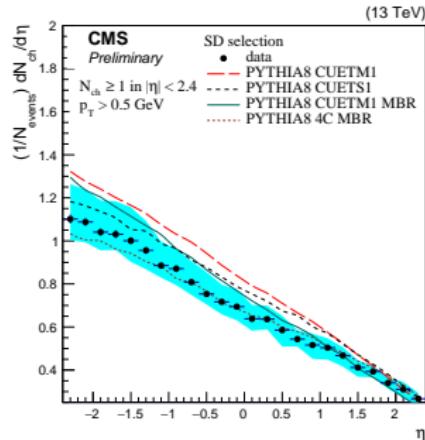
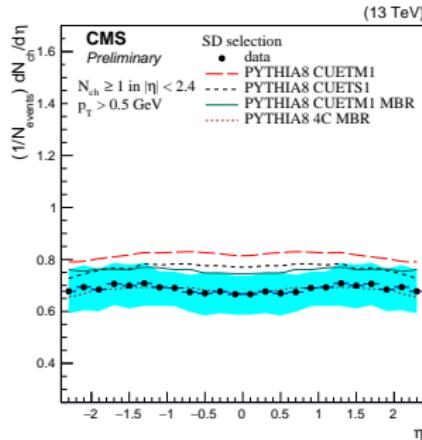
Best description is provided by MBR generator (arXiv.0203141) which fully simulates the diffractive components and is tuned to Tevatron data

It uses different hadronization parameters to describe charged particle  $p_T$  spectra

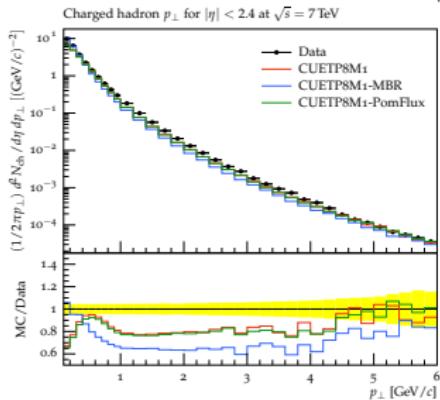
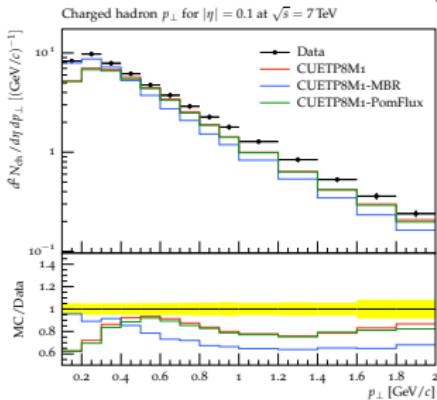
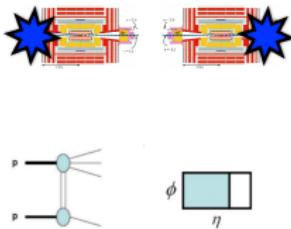
LEFT: SD-enhanced, RIGHT: DD-enhanced

Phys. Rev. D 92 (2015) 012003

# Diffractive-enhanced final states (II)



**SD-enhanced selection: activity in one region, veto in the other**

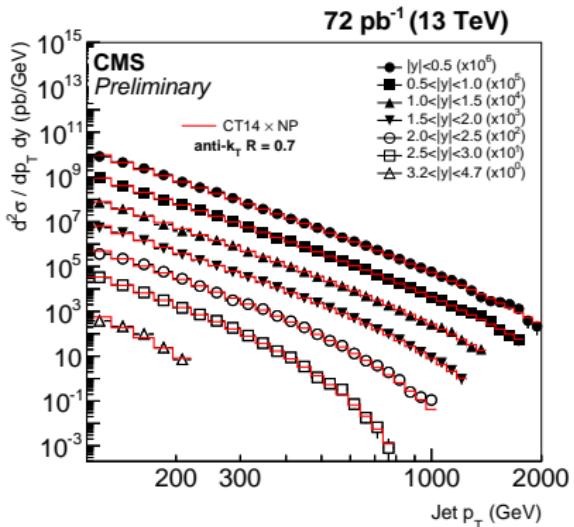


MBR diffraction model and parameters help to improve the SD observables, due to a better description of low trans.mom. region  
CMS-FSQ-15-008

Need for different hadronization parameters for diffractive observables than for nondiffractive?

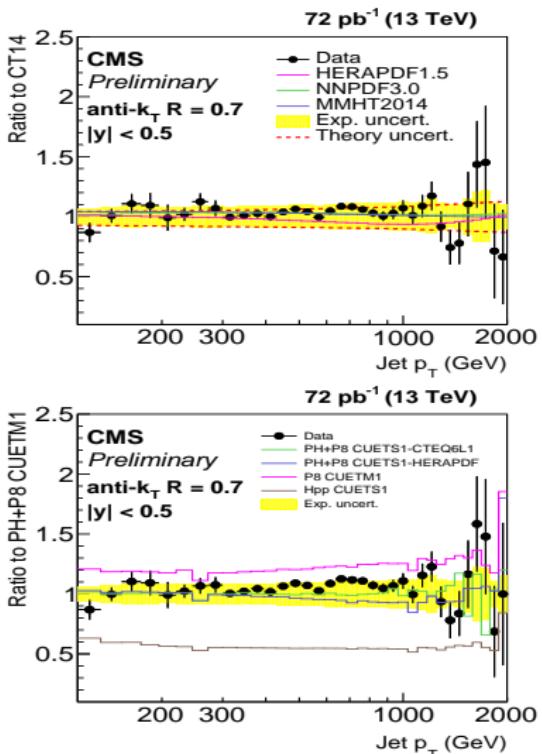
Ongoing work!

# Jet observables at 13 TeV



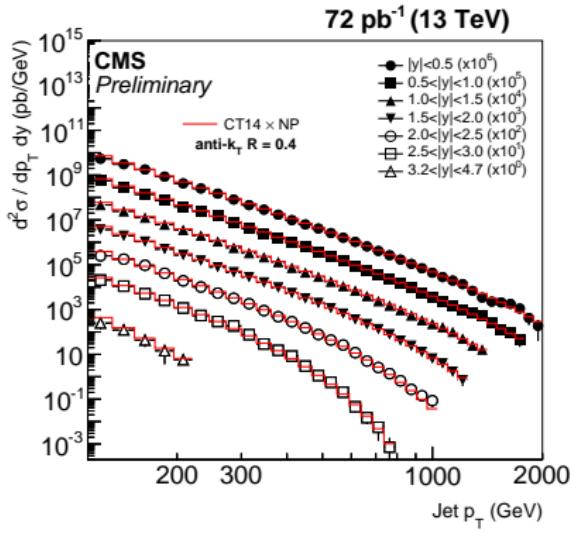
## Inclusive jet cross section in different rapidity bins

- Various cone sizes probe different aspects of parton evolution
- Jets clustered with  $R = 0.7$  are described by NLO fixed-order calculations and predictions from NLO MC generators
- LO MC event generators are not able to reproduce the measurement



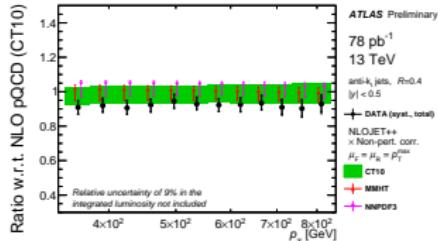
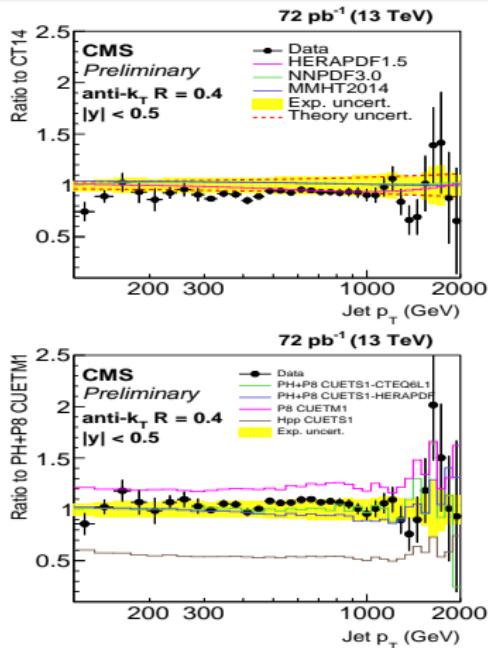
→ Fixed-order NLO ME corr. for NP effects with diff. PDF sets  
→ MC generators with LO or NLO dijet ME + PS and UE sim.

# Jet observables at 13 TeV

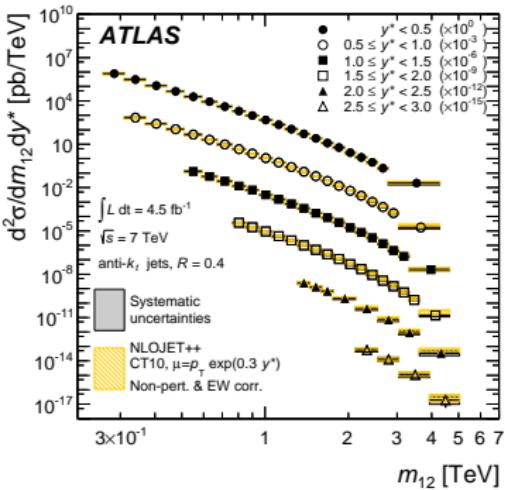


## Inclusive jet cross section in different rapidity bins

- Various cone sizes probe different aspects of parton evolution
- Jets clustered with  $R = 0.4$  are not described by NLO fixed-order calculations but only by predictions from NLO MC generators
- Effect of missing PS contributions relevant for smaller cones



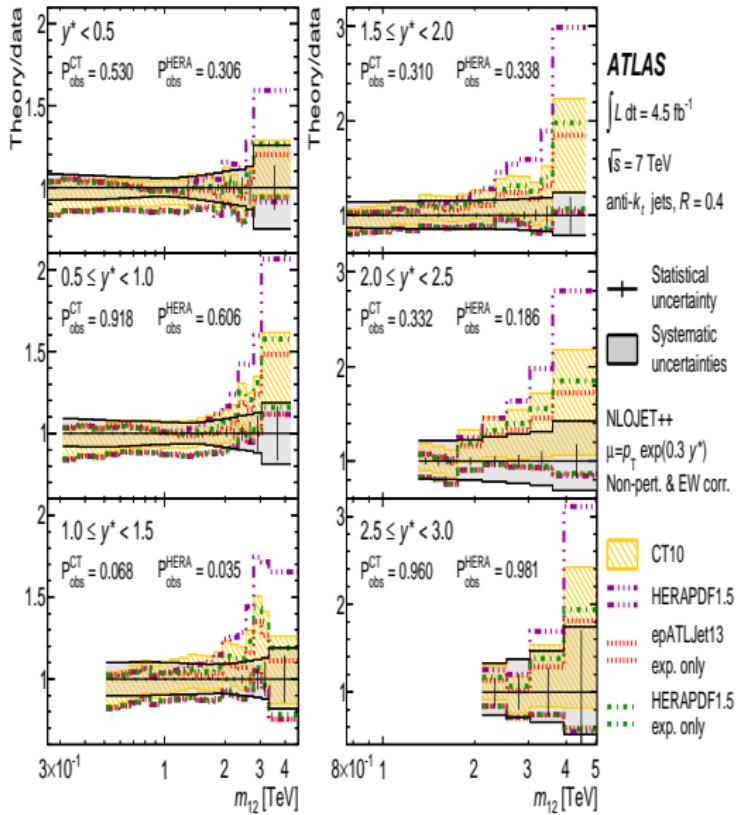
# Multijet observables (I)



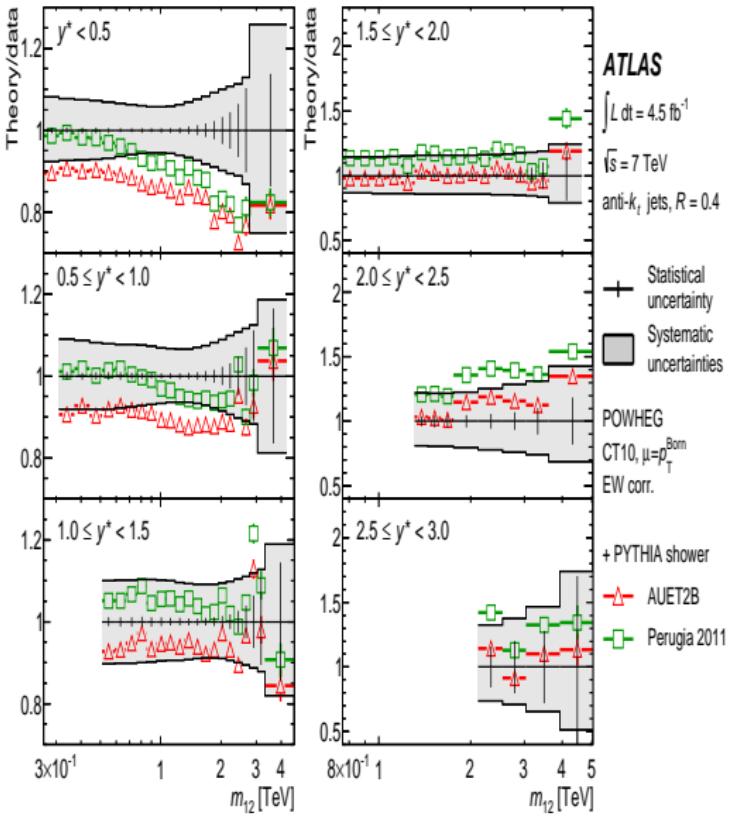
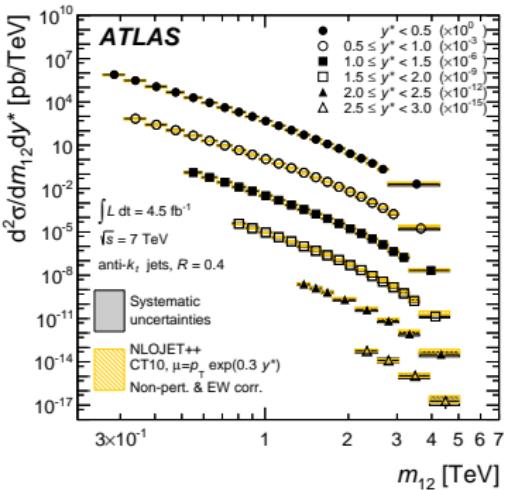
Inclusive dijet cross section in different rapidity bins as a function of dijet mass

- Jets clustered with  $R = 0.4$  are reproduced by NLO fixed-order calculations

JHEP05(2014)059



# Multijet observables (II)

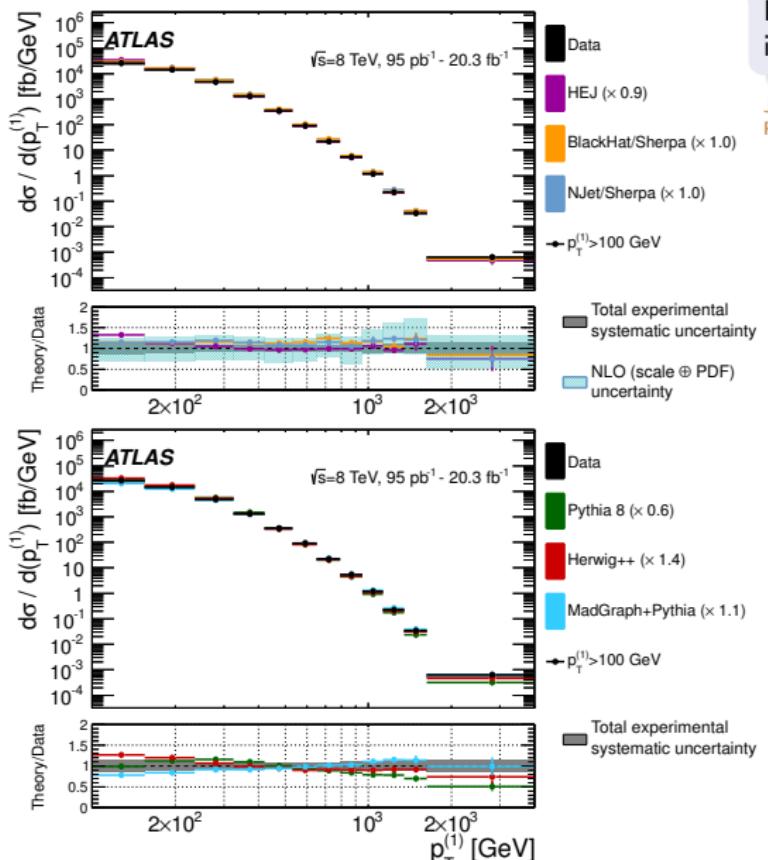


Inclusive dijet cross section in different rapidity bins as a function of dijet mass

- Jets clustered with  $R = 0.4$  are reproduced by MC event generators using NLO dijet ME but sensitivity to UE tunes

JHEP05(2014)059

# Multijet observables (III)



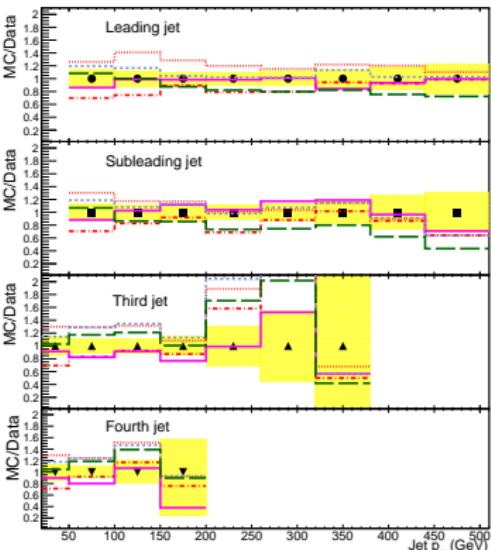
Four-jet scenarios also well described in a wide region of the phase space

JHEP 12 (2015) 105  
Phvs.Rev.D89(2014)092010

$|y| < 4.7$   
 $1^{\text{st}}, 2^{\text{nd}}$  jet:  
 $p_T > 50$  GeV  
 $3^{\text{rd}}, 4^{\text{th}}$  jet:  
 $p_T > 20$  GeV

SHERPA  
POWHEG+P6 Z2'  
MADGRAPH+P6 Z2'  
PYTHIA8 4C  
HERWIG++ UE-EE-3  
Total Uncertainty

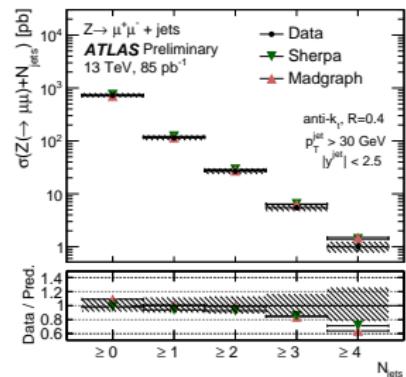
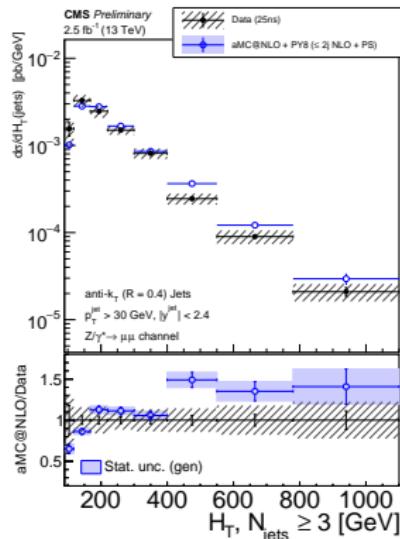
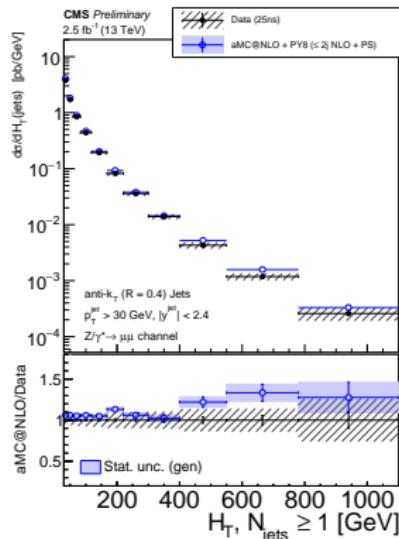
CMS,  $\sqrt{s} = 7$  TeV,  $L = 36$  pb<sup>-1</sup>, pp  $\rightarrow$  4j+X



# Z boson observables

## Jets associated to a Z boson

Measurement of differential cross sections for different jet multiplicities  
→ Crucial test of our QCD predictions!



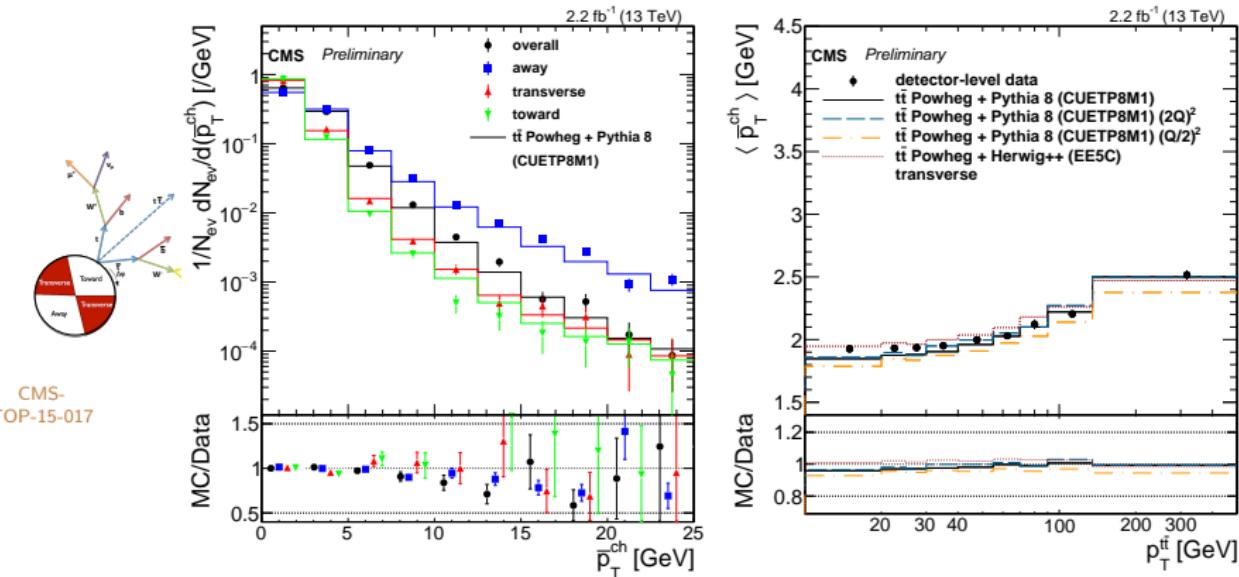
CMS-SMP-15-010  
ATLAS-CONF-2015-041

Predictions describe measurements as long as the multiplicity matches the number of partons in the matrix element

# Top observables (I)

## Underlying event in top pair events

Measurement of event content in terms of charged particle multiplicity and  $p_T$   
→ Test of final-state universality of UE contribution

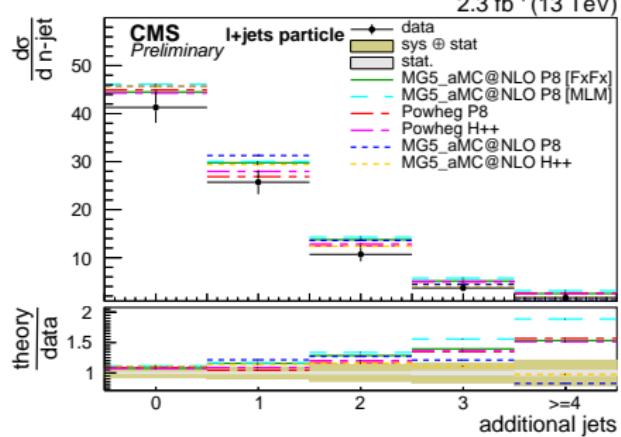
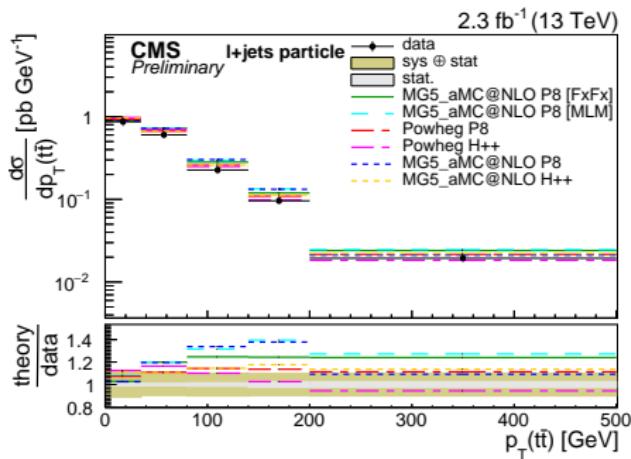


Predictions of POWHEG NLO matrix-element interfaced to P8 tunes from hadronic events are able to reproduce all measured observables in each considered region

# Top observables (II)

## Top pair in lepton + jets final states

Measurement of differential cross sections as a function of top pair  $p_T$  and jet multiplicity → Test of reliability of predictions in top sector



NLO predictions describe well the measurements but sensitivity to matching scheme and PS generator

CMS-TOP-16-008

# Parton shower and MPI tuning

## TUNING OF PYTHIA 8 TO OBSERVABLES MEASURED IN DIFFERENT PROCESSES

Study of the interplay between MPI and parton shower  
Various PDF sets investigated

Observables
Track jet properties
Jet shapes
Dijet decorrelations
Multijets
Z boson $p_T$
$t\bar{t}$ gap and jet shapes
Track-jet and jet UE

SigmaProcess:alphaSvalue	The $\alpha_S$ value at scale $Q^2 = M_Z^2$
SpaceShower:pT0Ref	ISR $p_T$ cutoff
SpaceShower:pTmaxFudge	Mult. factor on max ISR evolution scale
SpaceShower:pTdampFudge	Factorisation/renorm scale damping
SpaceShower:alphaSvalue	ISR $\alpha_S$
TimeShower:alphaSvalue	FSR $\alpha_S$
BeamRemnants:primordialKThard	Hard interaction primordial $k_\perp$
MultipartonInteractions:pT0Ref	MPI $p_T$ cutoff
MultipartonInteractions:alphaSvalue	MPI $\alpha_S$
BeamRemnants:reconnectRange	CR strength

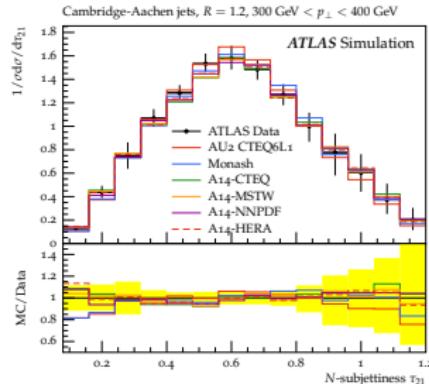
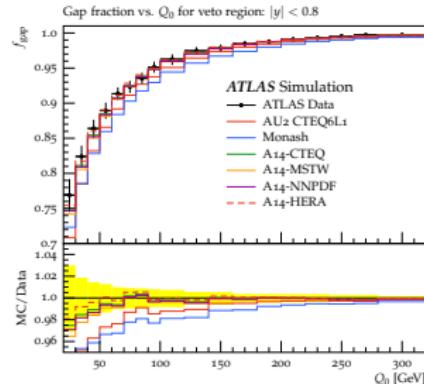
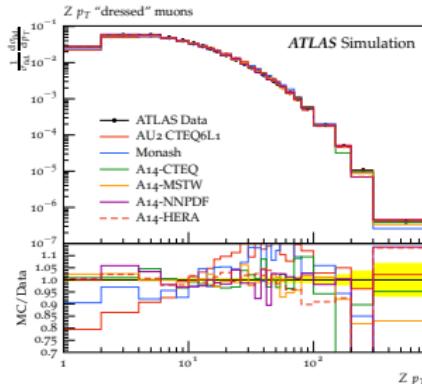
Extremely important for:

- testing the universality of the parton shower in leptonic and hadronic collisions
- testing the performance of UE simulation for different hard scattering processes

ATL-PHYS-PUB-2014-021

# Parton shower and MPI tuning

Significant improvement of description of observables in:  
Drell-Yan (left), top-antitop (center) and jet substructure (right) sectors



- $\alpha_s$  values are similar for all PDF used
  - quite high for the hard processes
  - lower for initial- and final-state radiation
  - significantly lower than previous tunes for ISR and FSR
- Damped shower needed to describe gap fraction in  $t\bar{t}$  events and  $p_T^Z$  simultaneously

# Tuning higher-order ME matched to parton showers

OBSERVABLES: gap fraction, jet shapes and jet multiplicity in  $t\bar{t}$  events

GENERATORS: PYTHIA 8 standalone, MADGRAPH\_aMC@NLO and  
POWHEG + PYTHIA 8

## Different steps of tuning:

- tuning of ISR and FSR separately, then simultaneous tune to account for their interplay
- application of tune to matched generators
- tune of the matched MADGRAPH\_aMC@NLO + PYTHIA 8

## (Some of the) Outcomes

- Significant improvement in the description of  $t\bar{t}$  observables
- Parameters of simultaneous ISR-FSR tune do not differ much from the separate tunes
- Tune of matched MADGRAPH+PYTHIA 8 has similar parameters to standalone PYTHIA 8

ATL-PHYS-PUB-2015-007, ATL-PHYS-PUB-2015-048

# Summary and conclusions

## High energy physics strongly relies on predictions from Monte Carlo event simulation

- Very sophisticated tunes are available and are able to describe a wide range of observables at different collision energies
- Actual measurements are sensitive to ME, UE and PS contributions and are able to disentangle them
- Tunes obtained at 7 TeV (or below) are able to reproduce data at 13 TeV in a good level of agreement
- It is difficult to reproduce data relative to some corners of the phase space within a unique set of parameters (e.g. DPS-sensitive observables, diffraction)
- Need for more sophisticated models/parametrizations?

New measurements, more  
advanced simulation..  
Stay tuned!



# Summary and conclusions

## High energy physics strongly relies on predictions from Monte Carlo event simulation

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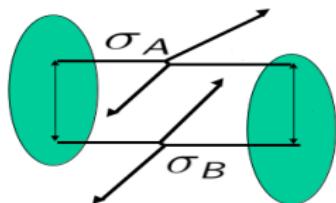
Stay tuned!



THANK YOU FOR YOUR ATTENTION

# **BACKUP SLIDES**

# A double parton scattering (DPS)



- Two different  $x$  values for the two partons in each proton
- Impact parameter  $b$

$$\sigma_{A,B}^{DPS} = \frac{m}{2} \int dx_1 dx'_1 \hat{\sigma}_A(x_1, x'_1) \int dx_2 dx'_2 \hat{\sigma}_B(x_2, x'_2) \int d^2 b f(x_1, x_2, b) f(x'_1, x'_2, b)$$

DPS Cross Section

Double parton distribution functions

Partonic cross sections

If the partons are assumed to be uncorrelated:

$$f(x_1, x_2, b) = f(x_1)f(x_2)F(b)$$

The two scatterings factorize in the cross section formula:

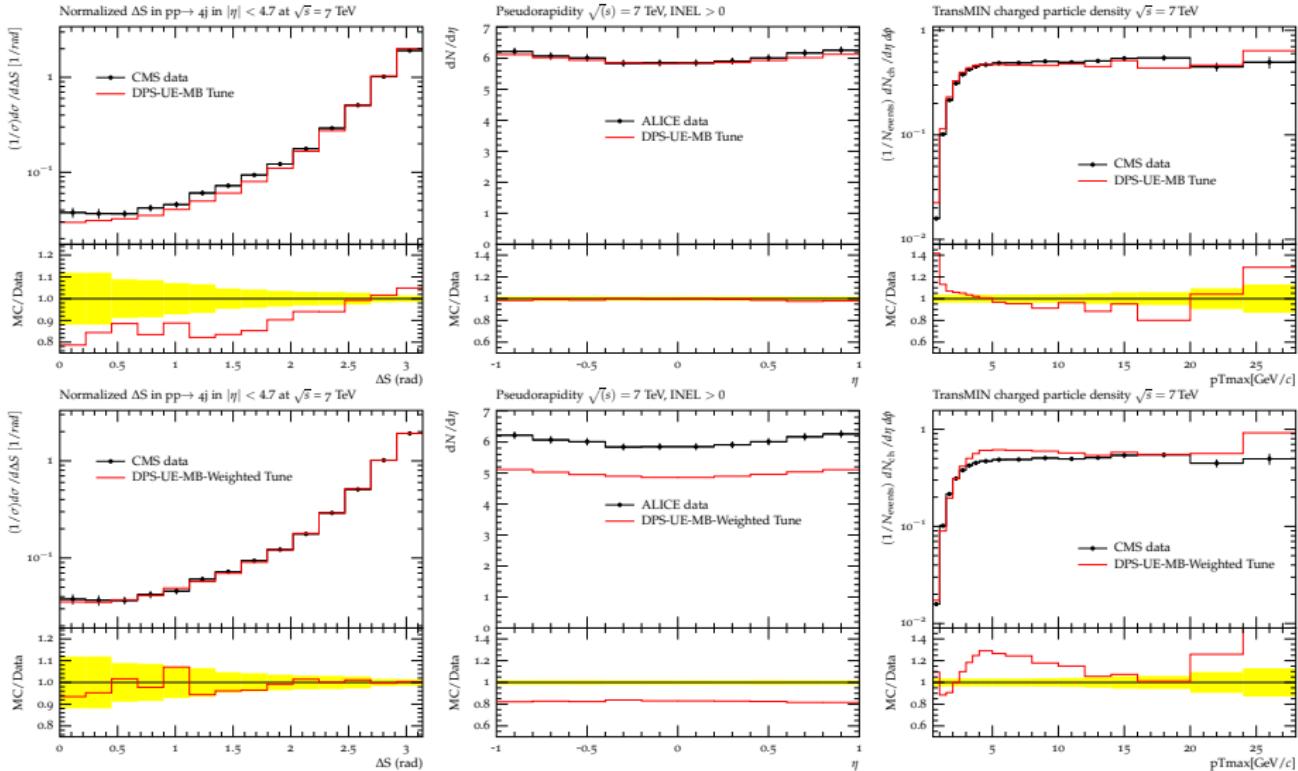
$$\sigma_{AB}^{DPS} = \frac{m}{2} \int dx_1 dx'_1 \hat{\sigma}_A(x_1) f(x'_1) \int dx_2 dx'_2 \hat{\sigma}_B(x_2) f(x'_2) \int d^2 b [F(b)]^2$$

It is thus defined:

$$\sigma_{\text{eff}} = \frac{1}{\int d^2 b [F(b)]^2}$$

# Combined fits to whole MPI spectrum

## Combined fits of observables sensitive to soft, semi-hard and hard MPI



No hope to get the measurements well described altogether

# Not only for fun!



## ① Correct description of the data

- Pile-up simulation
- Evaluation of detector effects and unfolding
- Estimation of background (in MC-driven approach)
- Models are not "allowed" to fail

## ② Good physics predictions

- Correct evaluation of physics effects
- Models are "allowed" to fail



**The danger is overtuning!**