

I Introduction to AdS/CFT correspondence

Anti-de-Sitter space-time AdS_d is a

- $(d-1,1)$ -dimensional space-time
- with constant negative curvature
- with a boundary $IR \times S_{d-2} \simeq IR^{d-2,1}$ (conformal)
- which has $SO(d-1,2)$ group of isometries
- is the space-time analog of hyperbolic space H^d

The AdS/CFT correspondence ~~states~~ ^{claims the} (exact) equivalence of

- string theories on AdS_d backgrounds ~~to~~ and
- conformal quantum field theories on $\partial AdS_d = IR^{d-2,1}$.

key example of AdS/CFT

- Superstring theory (IIB) on $AdS_5 \times S^5$
- Maximally ($N=4$) extended supersymmetric gauge theory in $D=4$

Benefits/shortcomings of these models

- + well tractable due to nice features (susy, surprises ...)
- unrealistic model (too much susy, conformal symmetry)

Outline of this lecture

- $N=4$ super Yang-Mills theory with $U(N)$ gauge group
- IIB string theory on $AdS_5 \times S^5$
- AdS/CFT holographic duality

$N=4$ Super Yang-Mills theory

- 4D quantum field theory
- much like standard model of particle physics (technically)

Field content

- gauge field/connection $A_\mu \leftarrow$ 4D vector index
- 4 flavours of fermions $\Psi_{\alpha}^{a \leftarrow}$ 4D flavour index
 $\alpha \leftarrow$ 4D spinor index
- 6 flavours of scalars $\Phi^m \leftarrow$ 6D flavour index
- all fields in adjoint of $U(N)$: $N \times N$ (hermitian) matrices

Gauge symmetry

Local $U(N)$ symmetry $U(x)$ $\frac{\partial}{\partial x_\mu} U \neq 0$

Covariant transformation $X \rightarrow U X U^{-1}$ $X = \Psi, \bar{\Psi}$

derivatives $\partial_\mu X \rightarrow U(\partial_\mu X + [U^{-1} \partial_\mu U, X]) U^{-1}$

~~covariant derivative~~ $D_\mu X \rightarrow U D_\mu X U^{-1}$ d. $D_\mu X = \partial_\mu X + \overset{\text{coupling const}}{ig} [A_\mu, X]$

gauge connection $A_\mu \rightarrow U(A_\mu + \frac{i}{g} U^{-1} \partial_\mu U)$ to compensate

covariant field strength $F_{\mu\nu} = -\frac{i}{g} [D_\mu, D_\nu] = \partial_\mu A_\nu - \partial_\nu A_\mu + ig [A_\mu, A_\nu]$

Action (up to numerical factors)

$$S = N \int \frac{d^4x}{(2\pi)^4} \text{tr} \left(F_{\mu\nu} F^{\mu\nu} + D_\mu \Psi D^\mu \bar{\Psi} + \bar{\Psi} \gamma^\mu D_\mu \Psi \right. \\ \left. + g \bar{\Psi} \gamma^\mu \Phi_\mu \Psi + g \bar{\Psi} \gamma^\mu \Phi_\mu \bar{\Psi} + g^2 [\Phi^m, \Phi^n] [\Phi_m, \Phi_n] \right)$$

Symmetries of $N=4$ SYM

Gauge Symmetry ~~see above~~

Flavour Symmetry: $SU(4) \sim SO(6)$ global R flavour rotation

- fermions $\Psi_\alpha \leftarrow$ fundamental 4-dim of $SO(4)$
 $\bar{\Psi}_{\dot{\alpha}}$ spinor 4-dim of $SO(6)$
- scalars $\Phi^m \leftarrow$ antisymmetric bifundamental 6-dim of $SU(4)$
vector of $SO(6)$
- gluons A_μ invariant / singlet

Lorentz $SO(3,1) \sim SL(2, \mathbb{C})$

$L, \bar{L}$ Lorentz rotation

- rotates spacetime x_μ & spinor/gluon indices

Poincaré $SO(3,1) \ltimes \mathbb{R}^{3,1}$

P momentum

- coordinate shifts

Supersymmetry (Poincaré susy)

$SO(3,1) \ltimes \mathbb{R}^{2N} \ltimes \mathbb{R}^{3,1}$ Q supercharge

- gluons $\delta A_\mu \sim \epsilon \gamma_\mu \Psi$ (numerical factors)
- scalars $\delta \Phi^m \sim \epsilon \gamma^m \bar{\Psi}$
- fermions $\delta \Psi \sim \gamma^{\mu\nu} \epsilon F_{\mu\nu} + \gamma^\mu \gamma^\nu \epsilon D_\mu \Phi_\nu + \gamma^{mn} \epsilon g [\Phi_m, \Phi_n]$

QSY algebra $[Q, Q] \sim P + \text{gauge transformation}$

Conformal scaling

D Dilatation generator

$D = 3/2$: $\bar{\Psi}$

$D = 1$: P, Φ_m, D_μ, A_μ

$D = 1/2$: Q

$D = 0$: $\mathcal{D}, R, L, \bar{L}$

$D = -1/2$: $S \leftarrow$ special conformal supercharge

$D = -1$: $K \leftarrow$ special conformal boost

Conformal / superconformal symmetry $PSU(2,2|4)$

- Conformal symmetry $SO(4,2) \sim SU(2,2)$ exact in QFT! $\beta_g = 0$
- Conformal symmetry joins with susy & superconformal $PSU(2,2|4)$

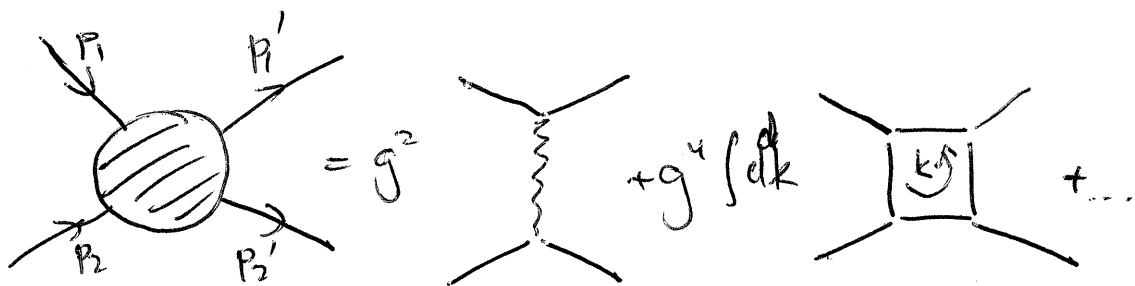
Scattering Amplitudes

Typically in 4D QFT's one computes scattering amplitudes
 $\leadsto$ collider experiments

Go to momentum space. Particle propagators

$$\Delta_m(p) = \frac{1}{-p^2 + m^2}$$

Feynman rules / diagrams, perturbation series

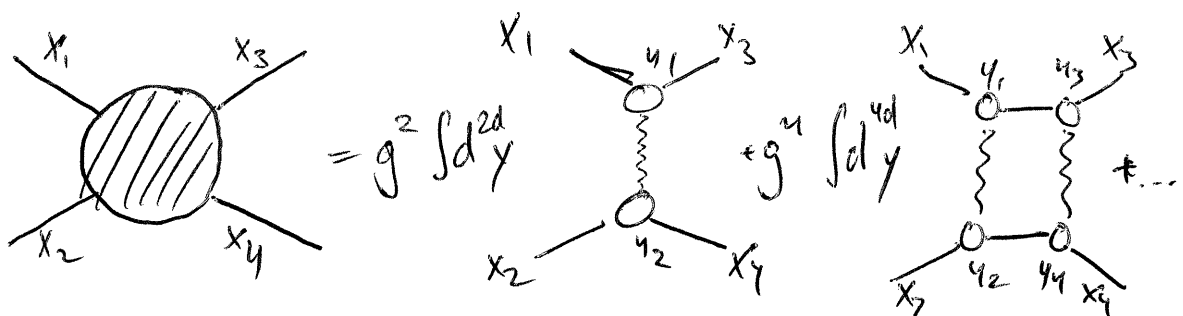


Hard calculations, but well-known rules & elaborate technology

Here: Position space different. Propagators

$$\Delta_m(x,y) = \frac{k^{d/2-1} (m|x-y|)}{2\pi (2\pi |x-y|/m)^{d/2-1}} \xrightarrow{\text{massless}} \Delta(x,y) = \frac{\Gamma(d/2-1)}{4\pi^{d/2} |x-y|^{d-2}}$$

Feynman rules, n -point correlation functions



Only practical in massless case, more integrations to be done, similar.

Local operators & Correlators

In gauge theory only gauge-invariant quantities are observable:

- local gauge-invariant combinations of the fields (local operators)
- Wilson loops (with field insertions): Holonomy of gauge field
- on-shell fields

Non gauge-invariant quantities average out over gauge orbits in PI.

Local Operators

Recall: All fields $X \in \{\Phi, \bar{\Phi}, F_{\mu\nu}, D_\mu, \dots\}$ are $N \times N$ matrices
and transform like $X \rightarrow U X U^{-1}$

Take trace of a product, eg. $O_1 = \text{Tr } \Phi_m \Phi_n$, $O_2 = \text{Tr } F_{\mu\nu} D_\nu \bar{\Phi} D_\rho \Phi$
Also linear combination and multiple traces are permitted

$$O = 16 O_1 + 3 O_2 \cdot O_3 + \dots$$

Correlators in CFT

◦ one-point functions $\langle O_\alpha(x) \rangle = 0$ typically in CFT

◦ two-point functions $\langle O_\alpha(x) O_\beta(y) \rangle = \frac{C_{\alpha\beta} \cdot C_\alpha}{|x-y|^{2D_\alpha}}$ in CFT

C_α (unphysical) normalisation $\} D_\alpha$ scaling dimension of O_α
spectrum of CFT

◦ three-point function $\langle O_\alpha(x) O_\beta(y) O_\gamma(z) \rangle$

$$= \frac{C_{\alpha\beta\gamma} \leftarrow \text{structure constants of CFT}}{|x-y|^{D_\alpha+D_\beta-D_\gamma} |y-z|^{D_\beta+D_\gamma-D_\alpha} |z-x|^{D_\alpha+D_\gamma-D_\beta}} \leftarrow \text{determined by conf. sym.}$$

◦ four-point functions, n-point functions

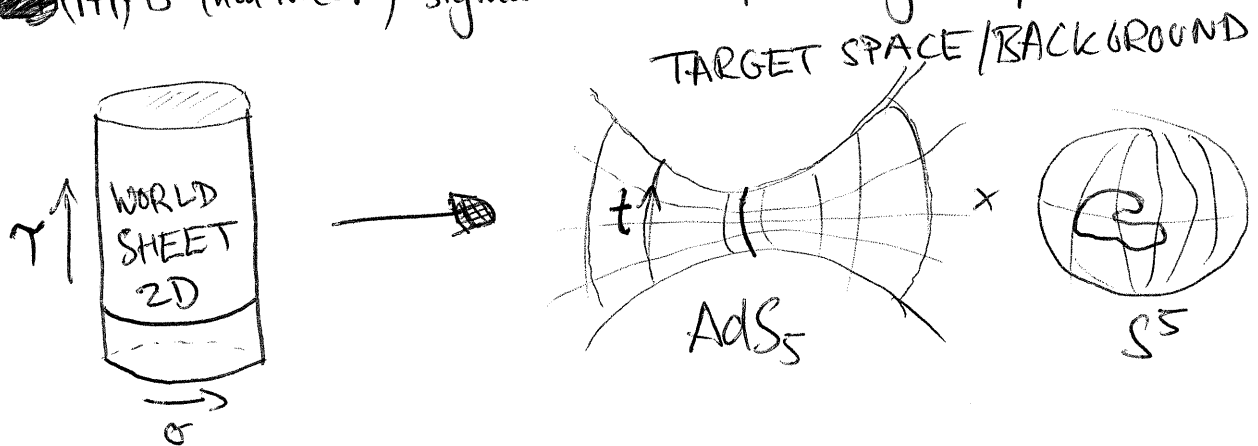
- structure can now depend on conformal invariants / cross ratios $\frac{x_{12}^2 x_{34}^2}{x_{13}^2 x_{24}^2}$ etc.
- can be inferred from OPE

$$\text{Diagram: A shaded circle with four external lines labeled } \alpha, \beta, \gamma, \delta. \text{ This is equal to a sum over } \epsilon \text{ of two diagrams: } \alpha \text{ and } \beta \text{ meeting at a vertex, connected by a line labeled } \epsilon \text{ to another vertex where } \epsilon \text{ and } \gamma \text{ meet, with } \delta \text{ as the fourth external line.}$$

IIB superstrings on $AdS_5 \times S^5$ background

String theory:

~~(1+1)~~ D (non-linear) sigma model coupled to gravity



Fields

$X_m(\sigma, \tau)$: Embedding coordinates of the string

$\gamma_{ab}(\sigma, \tau)$: World-sheet metric

$\psi(\sigma, \tau)$: Fermionic embedding coordinates (target superspace)
Worldsheet scalars

Action up to prefactors target space metric

$$S = \sqrt{-1} \int \frac{d\sigma d\tau}{2\pi} \sqrt{-\gamma} \left(\gamma^{ab} \partial_a X_m \partial_b X_m g^{mn} + \dots + R g_s \right)$$

~ "Area" of worldsheet embedding

Equations of motion

- $\square X_m = 0$ wave equation, periodicity $\rightarrow$ string modes
- $\square \psi = 0$ similar
- $(\partial_{\pm} X)^2 = 0$ (from metric) Virasoro constraints.
 $\rightarrow$ WS metric is induced metric from background

Symmetries

- Worldsheet diffeomorphisms
- kappa symmetry: local supersymmetry on worldsheet } needs to be gauge fixed.
- Isometries of target space (global sym)

Quantum Strings and Backgrounds

Action

$$S = \frac{1}{\alpha'} \int \frac{d^2\sigma}{2\pi} \left(\frac{1}{2} \sqrt{-g} g^{ab} \partial_a X^m \partial_b X^n g_{mn}(X) + \frac{1}{2} \epsilon^{ab} \partial_a X^m \partial_b X^n B_{mn}(X) + g_s R(\dots) \right)$$

$\uparrow$ by metric $\uparrow$ string couples to $\uparrow$ by 2-form potential
 $\uparrow$ by geometry

Quantisation

Vacuum: • string shrunk to a point $\frac{\partial}{\partial\sigma} X = 0$ • like a point particle it is by,
 • bosonic strings: tachyonic particle, instability
 • superstrings: absent

Excitations: Fluctuations of vacuum configuration

- one per transverse direction $\begin{matrix} \xrightarrow{x} \\ \downarrow y \end{matrix}$ etc.
- one per Fourier mode of worldsheet $\begin{matrix} \text{---} \\ n=1 \end{matrix} \begin{matrix} \text{---} \\ n=2 \end{matrix} \begin{matrix} \text{---} \\ n=3 \end{matrix}$ etc
- combine to get infinite collection of spinning particles.

Lowest excited modes: ~~massless~~

- massless
- closed strings: δg_{mn} , δB_{mn} , etc. (fluctuations of by geometry)
- open strings: δA_m (gauge fields)

higher excited modes: • massive • stringy (non-geom) modes

Quantum Consistency

Anomalies present. Cancel if g_{mn} satisfies Einstein Eq } (super) gravity
 B_{mn} satisfies 2-form field eq. } background

Second Quantisation

- infinite collection of particles $\rightarrow$ infinite collection of quantum fields
- contains gravitons $\rightarrow$ Theory of quantum gravity

The $AdS_5 \times S^5$ coset model

The sphere S^{N-1} is the coset $\frac{SO(N)}{SO(N-1)}$. $S^5 = \frac{SO(6)}{SO(5)} = \frac{SU(4)}{Sp(2)}$

Anti de Sitter AdS_{N-1} is the coset $\frac{\widetilde{SO(N-2,2)}}{SO(N-2,1)}$. $AdS_5 = \frac{\widetilde{SO(4,2)}}{SO(4,1)} = \frac{\widetilde{SO(2,2)}}{Sp(1,1)}$

$AdS_5 \times S^5$ superspace is the coset $\frac{\widetilde{PSU(2,2|4)}}{Sp(1,1) \times Sp(2)}$.

String theory on this coset has the fields

- $g(\sigma, \tau)$ element of $\widetilde{SU(2,2|4)}$ ~~not g~~
- $\eta(\sigma, \tau)$ world sheet metric. Defines Hodge dual ~~not~~ $\star dx^a = \frac{1}{2} \epsilon^{ab} dx^b$
- $\lambda(\sigma, \tau)$ Lagrange multiplier for $su(2,2|4)$

Local symmetries

- diffeomorphisms, kappa symmetry
- $g(\sigma, \tau) \rightarrow \tilde{\tau}(\sigma, \tau) g(\sigma, \tau)$, $\tilde{\tau}(\sigma, \tau)$ factor, reduces to $\widetilde{PSU(2,2|4)}$
- $g(\sigma, \tau) \rightarrow g(\sigma, \tau) h(\sigma, \tau)$, $h \in Sp(1,1) \times Sp(2)$, reduces to coset

Algebra of currents

Current $J = -g^{-1} dg$, ~~not J~~, $dJ = J \wedge J$

Z_2 grading (supersymmetric coset)

- 0 elements of $Sp(1,1) \times Sp(2)$
 - 2 ~~10~~ remaining bosonic elements of $psu(2,2|4)$
 - 1, 3 16+16 fermionic elements of $psu(2,2|4)$
- } $J = \begin{matrix} H & Q_1 & P & Q_2 \\ 0 & 1 & 2 & 3 \end{matrix}$

Action

$$S = \frac{\sqrt{\lambda}}{2\pi} \int \left(\frac{1}{2} \text{str } P_1 \star P - \frac{1}{2} \text{str } Q_1 \wedge Q_2 + \lambda \wedge \text{str } P \right)$$

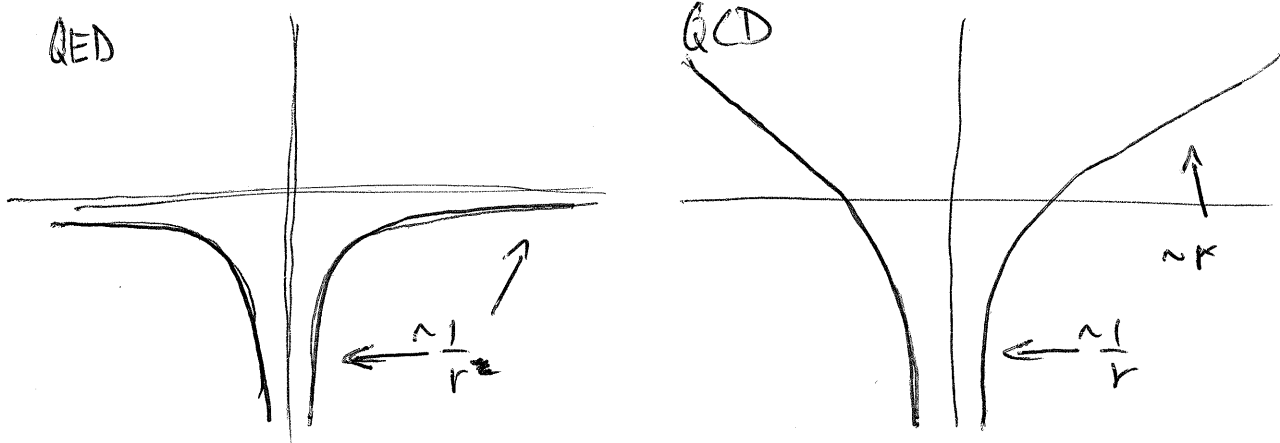
reduces to $su(2,2|4)$

$$Q = \frac{\sqrt{\lambda}}{2\pi} g \left(\star P + \frac{1}{2} Q_1 - \frac{1}{2} Q_2 \right) g^{-1}$$

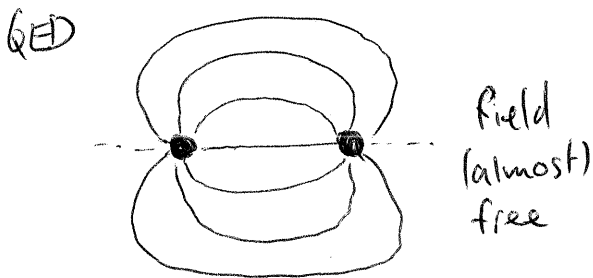
Noether charges for $PSU(2,2|4)$ global symmetry

QCD String

Unlike the electro magnetic forces^(QED), the strong nuclear forces^(QCD) are confining. Compare electron / quark potential:



Electron/positron pairs can be separated with finite ~~energy~~ amount of energy. Quark pairs cannot (confinement).
Field lines of electromagnetic / gluon field:

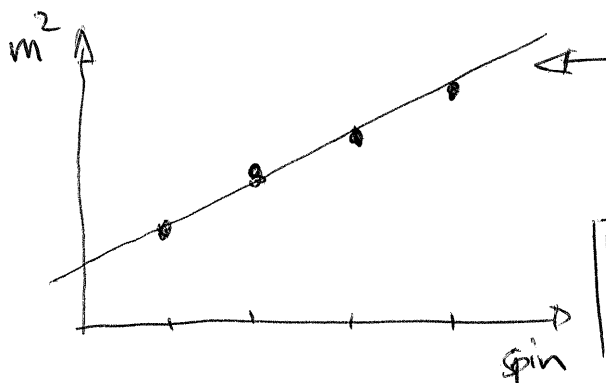


Flux spreads throughout space



Flux condensed into flux tube.
 String-like 1D object with tension.
 Linear potential with slope = tension.

Spectrum of hadronic excitations



Linear relation between m^2 and S .
 Regge trajectories.
 Spectrum similar to string theory.

4D gauge theories behave stringy in strong coupling regime

The Planar Limit 't Hooft

Consider a $U(N)$ gauge theory with large N .

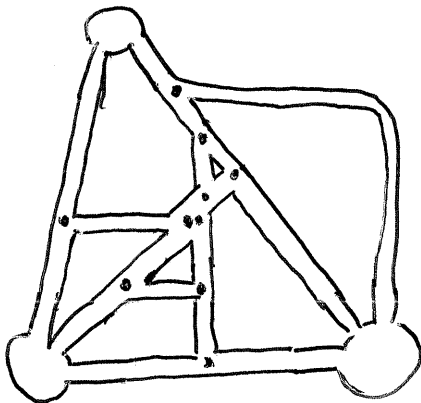
In Feynman graphs draw $U(N)$ representations as

- fundamental $\uparrow$
- conjugate fundamental $\downarrow$
- adjoint/gauge field $\leftrightarrow$

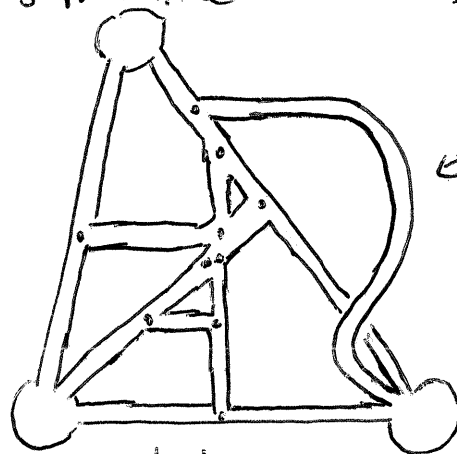
Vertices take the form

$$A^3 \begin{array}{c} \uparrow \\ \swarrow \searrow \end{array}, \quad A^4 \begin{array}{c} \uparrow \\ \swarrow \downarrow \searrow \end{array}, \quad \psi A \bar{\psi} \begin{array}{c} \uparrow \\ \swarrow \searrow \end{array} \text{ etc.}$$

Correlator can be drawn on genus h surface without crossing lines



$$\begin{array}{llll} h=0 & & & \\ \#V=10 & \text{vertices} & \sim N g & \\ \#L=19 & \text{lines} & \sim N^{-1} & \\ \#F=8 & \text{faces} & \sim N & \text{tr} 1 = N \\ \hline & & \sim N^5 g^{10} & \end{array}$$

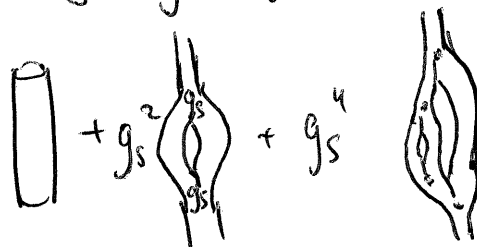


$$\begin{array}{ll} h=1 & \\ \#V=10 & \sim N g \\ \#L=19 & \sim N^{-1} \\ \#F=6 & \sim N \\ \hline & \sim N^3 g^{10} \end{array}$$

Order of general graph $N^{2-2h+\#E}$ ← external punctures.

⇒ large- N expansion is genus expansion

String perturbation theory



$$\boxed{\lambda \sim g^2} \begin{array}{l} \text{'t Hooft} \\ \text{coupling} \\ \text{genus counting} \\ g_s \sim \frac{1}{N} \end{array}$$

AdS/CFT Correspondence

Exact equivalence of

- String theory on $AdS_5 \times S^5$. (IIB strings on $AdS_5 \times S^5$)
- Conformal quantum field theory on boundary ($N=4$ SYM)

Symmetries match

- Isometry group $SO(d-1,2)$ ($PSU(2,2|4)$)
- Conformal spacetime symmetry group $SO(d-1,2)$ ($PSU(2,2|4)$)

Strong/Weak Duality

- Classical physics of both models very different
- classical regimes of coupling constants do not overlap
- hard to test in practice,
- makes predictions about **strong**-coupling behaviour of other model.

Coupling constants

- 't Hooft coupling $\lambda \sim g^2 \sim g_{YM}^2 N \sim \frac{R^4}{l_s^4} \left\{ \begin{array}{l} \lambda \ll 1 \text{ "weak" coupling (strong)} \\ \lambda \gg 1 \text{ "strong" coupling (weak)} \end{array} \right.$
- genus counting $\frac{1}{N} \sim \frac{l_s^4}{R^4} g_s \sim \frac{g_s}{\lambda}$

Usually deduced via stack of N D3-branes

Effective ~~theor~~ Low-energy theory: $N=4$ SYM with $U(N)$ gauge

Throat near the D3-branes has asymptotic $AdS_5 \times S^5$ geometry.

Correspondence becomes exact when zooming in on throat (supposedly).

Holography

Statement of duality

$$Z_{\text{CFT}}[\phi_0(x)] = Z_{\text{string}}[\phi(x, w)|_{w=0} = \phi_0(x)]$$

bulk field

at boundary of AdS

value at boundary

source of local operator

On shell string fields (2nd quantisation) are specified through values at boundary (Cauchy).

Some useful coordinate patches for AdS_5

Embedding in $\mathbb{R}^{4,2}$	metric	name	$\mathcal{O}(\text{AdS}_5) = \mathbb{R} \times S^3$	metric
$X \in \mathbb{R}^{4,2}$ with $X^2 = -1$	induced	embed.	$X \in \mathbb{R}^{4,2}$ with $X^2 = 0$ $X \cong \lambda \cdot X$	induced conformal
$X = \begin{pmatrix} \sec r \cos t \\ \sec r \sin t \\ \tan r \times S^3 \in \mathbb{R}^4 \end{pmatrix}$	$ds^2 = \sec^2 r (-dt^2 + dr^2) + \tan^2 r dS_3^2$	global coords.	$X = \begin{pmatrix} \cos t \\ \sin t \\ S^3 \in \mathbb{R}^4 \end{pmatrix}$	$ds^2 \cong -dt^2 + dS_3^2$
$X = \frac{1}{w} \begin{pmatrix} \frac{1+x^2+w^2}{2} \\ x_t \\ x_x \\ x_y \\ x_z \\ \frac{1-x^2-w^2}{2} \end{pmatrix}$	$ds^2 = \frac{1}{w^2} (dx^2 + dw^2)$	half-space	$X = \begin{pmatrix} \frac{1+x^2}{2} \\ x_t \\ x_x \\ x_y \\ x_z \\ \frac{1-x^2}{2} \end{pmatrix}$	$ds^2 \cong \cdot dx^2$

• global coordinates best for global structure.

see that light-ray ~~can~~ interacts with boundary in finite time
 $N=4$ SYM on $\mathbb{R} \times S^3$ (complicated due to curved space)

• half-space model useful for gauge theory (CFT on $\mathbb{R}^{3,1}$ first)
 only a patch of coordinates of global AdS_5

What about S^5 ?

• $SO(6)$ charges of local operators in gauge theory correspond to spherical harmonics on S^5 .

$\mathcal{O}(\text{AdS}_5 \times S^5) = \text{CFT}_4$ with S^5 decomposed into harmonics